

CHARLES D. BOOTH

Michael J. Marks Distinguished Professor in Business Law
&
Director, Institute of Asian-Pacific Business Law
William S. Richardson School of Law
University of Hawai'i at Manoa
2515 Dole Street
Honolulu, HI 96822
USA

Phone: (808) 956-5355
Fax: (808) 956-7623

email:cbooth@hawaii.edu

EDUCATION:

HARVARD LAW SCHOOL, Cambridge, Massachusetts
Juris Doctor, *cum laude*, June 1984

YALE UNIVERSITY, New Haven, Connecticut
Bachelor of Arts in History, *summa cum laude*, May 1981

Honors: Phi Beta Kappa
Distinction in History
Bates Summer Traveling Fellowship (funded thesis research in Great Britain)

AMERICAN SCHOOL OF CLASSICAL STUDIES, Athens, Greece
Summer Session, July-Aug. 1979

FULL-TIME EMPLOYMENT:

Jan. 2006 - Present THE WILLIAM S. RICHARDSON SCHOOL OF LAW
UNIVERSITY OF HAWAI'I AT MANOA, Honolulu, Hawai'i
Michael J. Marks Distinguished Professor in Business Law, July 1, 2018 - Present

Carlsmith Ball Faculty Scholar, Oct. 5, 2012 - July 31, 2014.
Professor of Law (tenured), Aug. 1, 2007.
Associate Professor, Jan. 1, 2006 - July 31, 2007.

Teaching: Asian-Pacific Insolvency Law (Sp 2012, Sp 2015, F 2016); Second Year Seminar (with a focus on Asian-Pacific Commercial Law topics) (Sp 2007, Sp 2010, Sp 2012); Corporate Reorganization (Sp 2016, Sp 2017); Secured Transactions (F 2013, Sp 2014, F 2014, Sp 2015, F 2015, Sp 2016, F 2016, F 2017, Sp 2018, F 2018, Sp 2019, F 2019, Sp 2020, Sp 2021, F 2021); Equitable Remedies (Sp 2017); Comparative Law (F 2017 (Co-T), Sp 2020 (Co-T), F 2021); International Business Lawyering in Asia (Sp 2018 (Co-T)), Sp 2021 (Co-T); Debtors' & Creditors' Rights and Corporate Reorganization (F 2018 (Co-T)); and Legal Practice (Lawyering Fundamentals I) (F 2015).

Designed, taught in and moderated Inaugural Business Boot Camp, Mar. 15-19, 2021.

Administration: Founding Director, Institute of Asian-Pacific Business Law (IAPBL) (May 2006-Present) (<http://www.iapbl.com>); Chair, Admissions Committee (Aug. 2009-July 2010); Chair, Pacific-Asian Legal Studies (PALS) Committee (Feb. 2011-July 2012); Chair, Department Personnel Committee (Tenure & Promotion) (Aug. 2013-July 2014); Chair, PALS Program (Aug. 2014-July 2015); Co-Chair, Faculty Workload Committee (Sept. 2015-Feb. 2016); Co-Chair, S-Faculty Hiring Committee (Mar.-May 2016); Chair, Teaching Excellence Committee (Aug. 2017-July 2018); Interim Director, SJD Program (Aug.-Dec. 2017); Co-Chair, S-Faculty Contract Reconciliation Committee (Jan.-Apr. 2018); Chair, Business Law Committee (Aug. 2018-July 2019); Chair, Ad Hoc Student Handbook Committee (Aug. 2019-May 2020); and aloha1996Chair, Appointments Committee (Aug. 2021-July 2022).

Aug. 1989 -
Jan 6, 2006

FACULTY OF LAW
DEPARTMENT OF PROFESSIONAL LEGAL EDUCATION
UNIVERSITY OF HONG KONG, Hong Kong
Substantiated (tenured), July 1, 1992.
Associate Professor (Retitled from Lecturer in Law), Apr. 11, 1997.

Director, Asian Institute of International Financial Law (AIIFL) (Dec. 2000 - Dec. 2005) (<http://www.aiifl.com>)
Acting Dean (Jan. 27 - Apr. 30, 1999).
Associate Dean (July 1, 1996 - Jan. 26, 1999; May 1 - June 30, 1999).

Administration: As AIIFL Director, responsible for the administration of the Institute's activities (research, conference, CLE, and fundraising). As Acting Dean, responsible for the general administration of the Faculty, including the Research Assessment Exercise and a submission to the Hong Kong legislature regarding legal education. As Associate Dean, responsible for the Faculty's postgraduate law programs (LLMs, diplomas, MPhil, PhD, and SJD) and fundraising and jointly responsible for Faculty publications.

Teaching: Comparative and Transnational Insolvency Law, Current Issues in Insolvency Law, Asian Insolvency Law, PRC Security and Insolvency Law, Hong Kong Insolvency Law, Hong Kong Business Associations, and Hong Kong Commercial Law and Practice.

Aug. 1986 -
June 1989

THE WILLIAM S. RICHARDSON SCHOOL OF LAW
UNIVERSITY OF HAWAI'I AT MANOA, Honolulu, Hawai'i
Assistant Professor of Law. Taught Debtors' and Creditors' Rights, Remedies, Commercial Transactions, Negotiable Instruments, and Legal Methods Seminar.

Nov. 1984 -
June 1986

CLEARY, GOTTlieb, STEEN & HAMILTON, New York City
Associate. Practiced in the areas of bankruptcy, securitization, and corporate law.

Summer 1983

SIMPSON THACHER & BARTLETT, New York City
Summer Associate.

Summer 1982 CAMERON MARKBY & THE INNS OF COURT, London, England
Summer Articled Clerk for firm of solicitors and Pupil in three barristers' chambers (Gavin Lightman, Antonio Bueno and Keith Evans).

PART-TIME EMPLOYMENT & ADVISORY POSITIONS:

Oct. 18, 2018 - PARABELLUM CAPITAL, New York City
Present Senior Advisor. Have origination and advisory roles within Parabellum's commercial, bankruptcy, and special situations strategies – seeking litigation funding and other funding opportunities, analyzing the merits of deals, liaising with counterparties, and monitoring closed investments.

June 1, 2015 - SHEPPARD, MULLIN, RICHTER & HAMPTON, New York City
Dec. 31, 2016 Special Counsel. Advising the Finance and Bankruptcy Practice Group.

Mar. 18, 2014 - BURFORD CAPITAL, New York City & London
Oct. 3, 2018 Senior Advisor. Sought litigation funding and other funding opportunities, analyzed the merits of deals, liaised with counterparties, and monitored closed investments. Member of 3-person Burford Asia Advisory Board, to guide Burford's expansion into Asia (Aug. 6, 2015-Oct. 3, 2018).

Apr. 1, 2009 – DENTONS (as of Mar. 28, 2013); formerly SNR DENTON (as of Sept. 30,
June 30, 2013 2010; and SONNENSCHNEIN NATH & ROSENTHAL LLP (through Sept. 29, 2010), New York City
Senior Advisor. Advised the Asia-Pacific Practice, Financial Crisis Situations Group, and Corporate Restructuring Group.

Fall 1995 - DUKE UNIVERSITY SCHOOL OF LAW
Summer 2005 & ASIA-AMERICA HONG KONG INSTITUTE IN TRANSNATIONAL
Summer 2013 LAW <http://www.law.duke.edu/internat/hkg/>
Summers, 1996-2005. HKU Academic and Administrative Program Director (co-sponsored by the HKU Faculty of Law). Taught the first half of the Comparative and Transnational Insolvency course (Summer 1996). Taught the first half of The Global Financial Crisis and Bankruptcy course (Summer 2013).

Fall 1990 - BENJAMIN N. CARDOZO SCHOOL OF LAW
Summer 1991 YESHIVA UNIVERSITY
CARDOZO INTERNATIONAL INSTITUTE ON LAW, TRADE AND SOCIAL CHANGE, SUMMER INSTITUTE IN HONG KONG
Special Visiting Professor of Law and Program Director. On-site academic and administrative program director (co-sponsored by the HKU Faculty of Law). Co-taught The New World Economy: Policy and Practice course (Summer 1991).

Sept. 1987 - GELBER & GELBER, Honolulu, Hawai'i
May 1988 Of Counsel. Consulted on bankruptcy and secured transactions issues.

RECENT HONORS:

- Sept. 11, 2020 Invited Annual Dr. N.H. Paul Chung Memorial Lecture Panelist, U.S.-China Relations; Duel or Duet?, Pacific Asian Management Institute at the Shidler College of Business, University of Hawai‘i at Manoa & and the University of Hawai‘i Center for Chinese Studies:
- YouTube: <https://youtu.be/Mp7UgaKSk4E>
Vimeo: <https://vimeo.com/457125373>
- January - May 2020 Visiting Professor, Department of Law, University of Hong Kong, taught Intensive LLM Course, Cross-Border Insolvency Law.
- Dec. 2019 Invited to give a Keynote Speech at a conference at the University of Hong Kong to mark the 20th Anniversary of the founding of AIIFL. Unfortunately, citywide student protests led to the cancellation of the conference.
- Nov. 28, 2016 - Jan. 2017 Max Kade Visiting Professor, Bucerius Law School, Hamburg, Germany
Selected by the American Friends of Bucerius Law School. Taught Comparative Bankruptcy Law.
- Oct. 24 - Nov. 1, 2016 Key Speaker, Workshop – Insolvency law in Asia-Pacific: trends and developments in China, Singapore and Australia, Asian Law Center & Visiting Scholar, Melbourne Law School, Australia.
- June 23, 2016 Keynote Speaker, International Conference on Cross-Border Insolvency, Bangkok, Thailand, sponsored by the Thailand Legal Execution Department, the Thailand Ministry of Justice, and the UNCITRAL Regional Center for Asia and the Pacific.

PART-TIME LAW REFORM, PROFESSIONAL TRAINING & SYMPOSIUM PROJECTS:

- June 2021 THE WORLD BANK GROUP
External Peer Reviewer. Served as sole external reviewer for the World Bank publication, *White Paper: Addressing the Corporate Debt Overhang*. Prepared written comments (3 pp.) and participated with the four internal reviewers in a Review Meeting with Senior Management to discuss the *White Paper* and future steps, June 24, 2021.
- May 2021 THE WORLD BANK GROUP
FINANCE, COMPETITIVENESS & INNOVATION GLOBAL PRACTICE
Senior Insolvency Expert. Jointly worked with a World Bank Financial Sector Specialist to design and deliver a webinar on International Good Practices in Insolvency to over 200 attendees (including the entire Beijing bankruptcy court bench) at the Chinese National Development and Reform Commission and its regional offices, May 19, 2021.
- Apr. 2021- Present INTERNATIONAL FINANCE CORPORATION (IFC) – THE WORLD BANK GROUP FINANCE, COMPETITIVENESS & INNOVATION – SAR
Senior Insolvency Expert. Responsibilities include working with a retired bankruptcy judge to design and develop bankruptcy rules, forms and guidelines to support the Lao PDR Rehabilitation and Bankruptcy of Enterprises (LRBE), which came into operation on June 10, 2020.

- Apr. 12, 2021-
Dec. 31, 2021
- LAO PDR MINISTRY OF INDUSTRY AND COMMERCE
International Insolvency Legal Expert. Supporting the Lao PDR Ministry of Justice and the Lao PDR People’s Supreme Court. Responsibilities include co-designing and conducting (with the International Insolvency Practitioner) a three-day practical webinar training course over Zoom for Insolvency Administrators and (with the Judicial Consultant) a three-day practical webinar training course over Zoom for Bankruptcy Judges.
- Jan. 10, 2019 -
Apr. 30, 2019
- INTERNATIONAL FINANCE CORPORATION (IFC) – THE WORLD BANK GROUP, FINANCE, COMPETITIVENESS & INNOVATION – SAR
External Consultant. Assisted the Bhutan Government Policy Committee with the drafting of a new personal (business) and corporate insolvency law. Completed one trip to Paro in February 2019.
- July 23 -
Aug. 2, 2018
- INTERNATIONAL MONETARY FUND (IMF)
Technical Assistance Advisor. Responsibilities included participating in an IMF insolvency training seminar for Asian policymakers at the Singapore Regional Training Institute, July 31-Aug. 2, 2018.
- Mar. 19, 2018 -
June 30, 2020
- IFC – THE WORLD BANK GROUP
FINANCE, COMPETITIVENESS & INNOVATION GLOBAL PRACTICE (FINANCIAL INCLUSION & INFRASTRUCTURE)
Senior Insolvency Expert. Advised the Insolvency Law Drafting Committee in the Lao PDR Ministry of Justice. Responsibilities include: assisting the Drafting Committee with the drafting of a new insolvency law (business insolvency law, including sole proprietors and cross-border insolvency), which is intended to improve the effectiveness and efficiency of the Lao insolvency law framework and to improve the restructuring and liquidation processes; and reviewing existing and draft laws and giving oral and detailed written comments. Made three trips to Vientiane, Laos (March and July 2018; March 2019) to present at an insolvency workshop organized for government officials, to meet with the Drafting Committee, and to re-draft and try to finalize the draft law; co-authored WBG Comments on the 2018 Draft Amendments of the 1994 Law on Bankruptcy Enterprises of Lao PDR, Sept. 5, 2018 (41 pp.) and co-authored and provided input and comments on various drafts of the proposed law; co-authored WBG The Lao PDR Law on Rehabilitation and Bankruptcy of Enterprises – Summary of Key Revisions, June 2020 (5 pp.). On Dec. 26, 2019, the Lao Parliament enacted the new Law on Rehabilitation and Bankruptcy of Enterprises (LRBE), which incorporates many of our recommendations; the law came into operation on June 10, 2020 (No. 75/NA). Co-authored WBG Comments on the Relationship of the Draft Lao Enterprise Law to the LRBE, Apr. 26, 2020 (6 pp.). Participated in video conferences with the Lao Ministry of Justice on June 29, 2020 and with the Lao Supreme Court on July 8, 2020 to discuss next steps to enact subsidiary insolvency legislation and to set up training for Lao insolvency professionals.
- Aug. 2017 -
Present
- ASIAN BUSINESS LAW INSTITUTE &
INTERNATIONAL INSOLVENCY INSTITUTE
Asian Principles of Business Restructuring Project. Member, Advisory Committee & Steering Committee (as the Point Person drawn from the Advisory Committee) to liaise with the Working Committee – project’s goal was to develop restructuring principles for 16 Asian jurisdictions. Attended joint meetings of the Advisory and Working Committees in NYC on Sept. 26, 2018 and in Singapore on Apr. 2 and 3, 2019; commented on questionnaire.

Led to publication by ABLI of Corporate Restructuring & Insolvency in Asia 2020 (<https://ebooks.abli.asia/insolvency/>).

For Phase 2 of the project: Member, Intermediate Consultation Group, providing feedback on drafts of the Best Practice Guides being developed to support the Asian Principles of Business Restructuring: Draft Guide on Insolvency for Micro-, Small-, and Medium-Sized Enterprises in Asia, Sept. 2021.

Sept. 2016 -
Mar. 31, 2017

IFC – THE WORLD BANK GROUP
FINANCE & MARKETS GLOBAL PRACTICE, EAST ASIA REGION
Senior Evaluation Expert, Vietnam Debt Resolution Program (Sept. 17, 2013-
June 30, 2016). Conducted a Mid-Term Review of the Program, which was
designed to improve the effectiveness and efficiency of the Vietnamese
bankruptcy and insolvency framework and to facilitate the restructuring and
bankruptcy process. Responsible for evaluating the overall progress and
performance of the various projects under the Program (implementation of
insolvency regulations; increasing the use of ADR; decreasing levels of non-
performing loans (NPLs), and strengthening the capacity of the financial sector
for handling risk management, debt resolution, and small and medium
enterprise (SME) banking). Made two trips to Hanoi to meet with stakeholders
and donor and prepared a Draft Mid-Term Review Report (80 pp.) (Dec. 2016)
and Final Mid-Term Review Report (79 pp.) (Feb. 2017). The Executive
Summary of Evaluation of the Mid-Term Review of the Vietnamese Debt
Resolution Program (18 pp.) is available online at
http://www.ifc.org/wps/wcm/connect/topics_ext_content/ifc_external_corporate_site/development+impact/resources/summaries (Feb. 2017).

Jan. 2014 -
2015

ASIA-PACIFIC FINANCIAL FORUM (APFF)/ASIA-PACIFIC
ECONOMIC COOPERATION (APEC) BUSINESS ADVISORY COUNCIL
(ABAC)
Member, Steering Committee of the APFF/ABAC Capital Markets
(Information) Workstream & Chair, Investor Rights in Insolvency Sub-Group
(IRISG). The Committee was responsible for examining and identifying the
strengths and areas for improvement of information for investors in Asian debt
markets. Developed a template with the assistance of other members of the
IRISG and presented the template to a meeting of the Steering Committee on
Oct. 8, 2014.

Aug. 2013 -
June 2014

INTERNATIONAL DEVELOPMENT LAW ORGANIZATION (IDLO)
& EUROPEAN BANK FOR RECONSTRUCTION AND
DEVELOPMENT (EBRD)
Insolvency (Bankruptcy) Expert, Commercial Law Judicial Training Program
in Mongolia. Responsibilities included providing guidance and expertise to
three Bankruptcy Judge-Trainers, developing an outline for a Mongolian
Insolvency Handbook, and lecturing on insolvency law to 25 Judge-Trainers
and Justices of the Supreme Court of Mongolia in Ulaanbaatar, Mongolia, on
Mar. 25, 2014.

July 2011
Nov. 2012

EBRD
Member of the four-person team chosen to evaluate the activities of the
EBRD's Legal Transition Programme from 2001 to 2010. The study covered
30 legal reform and advisory projects in Armenia, Hungary, Mongolia, Russia
and Serbia. Was responsible for the insolvency, secured transactions and

judicial capacity sections. Met with EBRD section heads in London and conducted field research in Budapest, Moscow, St. Petersburg, and Belgrade in July-Aug. 2011. The team submitted a 103-page Evaluation (plus appendices) to the Bank's Board of Directors. Co-presented the Evaluation to the Bank on Nov. 1, 2012. The Special Study – Legal Transition Programme Review (46 pp.) (prepared by the EBRD Evaluation Department in conjunction with the team) is available at <http://www.ebrd.com/downloads/about/evaluation/121109legal.pdf> (Nov. 2012) and at <http://ssrn.com/abstract=2181251>.

June 2011 -
May 2013

WORLD BANK

Member of the four-person Drafting Group that headed up the World Bank Insolvency and Creditor/Debtor Regimes Task Force Working Group on the Treatment of the Insolvency of Natural Persons. Co-drafted the Draft Reports and Final Report on the Treatment of Insolvency of Natural Persons. The Draft Report (97 pp.) was discussed by the Working Group at a meeting at the World Bank in Washington, DC, from Nov. 17-18, 2011; the Second Draft Report (123 pp.) was presented to the Working Group in May 2012; and the Third Draft Report (123 pp.) (Oct. 2012) was presented at a meeting of the Working Group in Washington, DC, on Dec. 13-14, 2012. The Final Report on the Treatment of the Insolvency of Natural Persons (143 pp.) was released in May 2013 and is available at www.worldbank.org/gild and http://www.wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2013/05/02/000333037_20130502131241/Rendered/PDF/771700WP0WB0In00Box377289B00PUBLIC0.pdf.

Jan. 2009 -
Present

WORLD BANK

Member, World Bank Insolvency and Creditor/Debtor Regimes Task Force Working Group on Insolvency of Non-Bank Financial Institutions. Participated in workshops held in Washington, DC, from Jan. 12-13, 2009, Jan. 10-11, 2011, and May 4, 2018 and in a meeting in NYC on May 27, 2015.

Dec. 2008 -
July 2009

ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT (OECD)

Consultant. Prepared a policy paper, *Asian Corporate Insolvency Reform Law Reform in the Aftermath of the 1997 Financial Crisis: The Need for the Continued Development of Effective Insolvency Infrastructures*; presented the paper as the keynote speaker at the OECD Forum on Asian Insolvency Reform (FAIR), Bangkok, Thailand, July 17, 2009.

Mar. 2007 -
Mar. 2010

ASIAN DEVELOPMENT BANK (ADB)

Bankruptcy and Insolvency Law Expert. Advised the Vanuatu Financial Services Commission on personal bankruptcy and corporate insolvency law reform as part of an Institutional Legal Reforms project. Made eight trips to, and spent roughly 3½ months in, Vanuatu meeting with and conducting workshops for stakeholders. Drafted a diagnostic report, preliminary recommendations, and a Discussion Paper. Worked with the legal draftsman, David Cochrane, to draft three laws: (1) the Draft Personal Insolvency Act 2009 (based on New Zealand law); (2) the Draft Companies (Insolvency and Receivership) Act 2009 (based on Solomon Islands law, but with a moratorium on ordinary secured creditors engaged in a compromise), which was enacted with minor changes as the Companies (Insolvency and Receivership) Act No. 3 of 2013 (see <https://www.vfsc.vu/wp-content/uploads/2015/09/Companies-Insolvency-and-Receivership-Act-No.3-of-2013-Official-Gazetted->

- [Version.pdf](#) & <https://www.vfsc.vu/wp-content/uploads/2015/09/Loi-No.-3-de-2013-Relative-a-lInsolvabilite-et-la-Faillite-des-Societes-Version-Francaise.pdf> (French version)); and (3) the Draft Insolvency (Cross-Border) Act 2009 (based on New Zealand law), which was enacted with minor changes as the Insolvency (Cross-Border) Act No. 4 of 2013 (see <https://www.vfsc.vu/wp-content/uploads/2015/09/Insolvency-Cross-Border-Act-No.4-of-2013.pdf> (English version) & <https://www.vfsc.vu/wp-content/uploads/2015/09/Loi-No4-De-2013-Sur-LInsolvabilite-Internationale-Version-Francaise.pdf> (French version)). With David Cochrane, also drafted the Draft Personal Insolvency Regulations 2009. The corporate insolvency and cross-border insolvency laws were enacted on October 14, 2013 and came into operation on August 30, 2015. The bankruptcy law and regulations are still under consideration.
- Dec. 2003 -
Aug. 2004 HARVARD LAW SCHOOL, PROGRAM ON INTERNATIONAL FINANCIAL SYSTEMS, FIRST ANNUAL CHINA-US SYMPOSIUM
Consultant. Assisted with the organization of the Symposium on Building the Financial System of the 21st Century: An Agenda for China & the United States, co-sponsored with the China Development Research Foundation. Served as Reporter at the Symposium held in Beijing in June 2004 and wrote a summary of the Symposium proceedings.
- June 2002 AMERICAN BAR ASSOC.-UNITED NATIONS DEVELOPMENT PROGRAMME (ABA-UNDP) INTERNATIONAL LEGAL RESOURCES CENTER (ILRC)
Bankruptcy Expert. Advised the Vietnamese Ministry of Justice on insolvency law reform, conducted four days of workshops in Hanoi, Vietnam (organized by the Ministry of Justice) on the draft Vietnamese bankruptcy law, including two days for the Vietnamese bankruptcy law drafting committee, and drafted comments (37 pp.) and final recommendations (27 pp.).
- Apr. 2002 INTERNATIONAL REPUBLICAN INSTITUTE
Foreign Expert (one of four). Advised the Finance and Economic Committee of the PRC National People's Congress on insolvency law reform, participated in Beijing in a two-day International Forum on the Enterprises Bankruptcy and Reorganization Law (co-sponsored by the Finance and Economic Committee of the PRC National People's Congress and the Institute for Asia and Pacific Studies) and a two-day workshop with the PRC bankruptcy law drafting committee, and co-drafted final recommendations (46 pp.).
- June 2001-
2002 WORLD BANK
Co-Project Manager with Professor Wang Wei Guo (of the China University of Politics and Law in Beijing (CUPL) on a project for the China State Economy and Trade Commission (SETC) on the out-of-court restructuring of State-Owned Enterprises. Responsible for the international and comparative dimensions of the project, established an Insolvency Experts Panel (including 28 Experts from 14 jurisdictions) and drafted Chapter 3 (25 pp.) of the Study on Alternative Approaches for the Debt Restructuring of Enterprises in China (2002).
- June 2001-
2009 WORLD BANK
Member of the four-person team (headed by Prof. Jay L. Westbrook) that drafted a Comparative Survey of International Commercial Enforcement & Insolvency Practices for the World Bank in relation to the World Bank's

Principles for Effective Insolvency and Creditor Rights Systems. This comparative study led to the publication of A Global View of Business Insolvency Systems (World Bank & Martinus Nijhoff Publishers, 2010).

- Sept. 1999 -
June 2006 &
May 2009 -
Present
- HONG KONG INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS (HKICPA) (formerly the HONG KONG SOCIETY OF ACCOUNTANTS through August 2004).
Co-designer and Co-director of the Professional Diploma in Insolvency Course (formerly the Diploma in Insolvency Course through 2012-13), which is recognized by the Hong Kong Official Receiver's Office. Lecturing on cross-border insolvency and personal insolvency law. The 2021-2022 Course is the 14th cohort.
- Sept. 1998 -
2000
- ASIAN DEVELOPMENT BANK (ADB)
Local Consultant for Hong Kong & General Consultant for the ADB Asian Insolvency Law Reform Project (Regional Technical Assistance Project No. 5795-Reg.). Drafted the Local Study of Insolvency Law Regimes: Report on Hong Kong, China and the Report on the Proceedings of the ADB's Joint Symposium on Insolvency and Secured Transactions.

PUBLICATIONS:

BOOKS:

Charles D. Booth & Edward Tyler, Hong Kong Personal Insolvency Manual (3rd edition, in progress) (Hong Kong: LexisNexis in association with HKICPA, publication anticipated 2022).

Jay Westbrook (Editor and Senior Author), Charles D. Booth, Christoph Paulus & Harry Rajak, Chinese Edition of A Global View of Business Insolvency Systems in Mandarin (Wang Zhizhou, Translator) (Beijing: China University of Political Science and Law Press: Dec. 2018 (pursuant to a translation license granted by the World Bank) (incl. a Translator's Preface, a new Authors' Preface, and some updates and minor revisions) (see Taobao.com and JD.com webpages for selling the book at see <https://bit.ly/2C2oiTg> and <https://item.jd.com/12516462.html>).

Charles D. Booth, ELG Tyler, Ludwig Ng & Terry Kan, Hong Kong Corporate Insolvency Manual (4th edition) (Hong Kong: LexisNexis in association with HKICPA, Apr. 2018) (651 pp.).

Charles D. Booth, Stephen Briscoe & Edward Tyler, Hong Kong Corporate Insolvency Manual (3rd edition) (Hong Kong: LexisNexis in association with HKICPA & LexisNexis, 2015) (448 pp.).

Charles D. Booth & Edward Tyler, Hong Kong Personal Insolvency Manual (2nd edition) (Hong Kong: HKICPA, 2010) (454 pp.).

Jay Westbrook (Editor and Senior Author), Charles D. Booth, Christoph Paulus & Harry Rajak, A Global View of Business Insolvency Systems (World Bank & Martinus Nijhoff Publishers, 2010) (300 pp.) & available at <https://openknowledge.worldbank.org/bitstream/handle/10986/13522/68423.pdf;jsessionid=0BF9297103663778787BB6B498DF8D59?sequence=1> (reviewed at IRI September10_Kraft (kraft_book review.pdf)) (has been made available for use internally by the Korean Courts).

Stephen Briscoe & Charles D. Booth, Hong Kong Corporate Insolvency Manual (2nd edition) (Hong Kong: HKICPA, 2009) (370 pp.).

Charles D. Booth, Philip Smart & Stephen Briscoe, Hong Kong Personal Insolvency Manual (Hong Kong: HKSA, 2003) (392 pp.) (reviewed in 35 HONG KONG LAW JOURNAL 252-253 (2005)).

Philip Smart, Charles D. Booth & Stephen Briscoe (eds.), Hong Kong Corporate Insolvency Manual (Hong Kong: HKSA, 2002) (245 pp.) (reviewed in 33 HONG KONG LAW JOURNAL 711-712 (2003)).

Charles D. Booth (ed.), Hong Kong Commercial Law: Current Issues and Developments (Hong Kong: Hong Kong University Press, 1996) (230 pp.); also author of *Introduction* (pp. 1-4) and *Transnational Insolvency Law*, Ch. 3 (pp. 55-101) (a condensed and updated version of 2 SOUTHWESTERN JOURNAL OF LAW & TRADE IN THE AMERICAS 1 (1995)).

ACADEMIC JOURNAL ARTICLES AND BOOK CHAPTERS:

Charles D. Booth, *Where Have All the Cases Gone?: Bankruptcy Law in the PRC* (work-in-progress).

Charles D. Booth, *Asian Corporate Insolvency Law Reform in the Aftermath of the 1997 Financial Crisis: The Need for the Continued Development of Effective Insolvency Infrastructures* (work-in-progress)

Charles D. Booth, *An Analysis of Consumer Insolvency Trends in Hong Kong in the Aftermath of the 1997 Asian Financial Crisis and the 2007-2008 Global Financial Crisis*, in Janis P. Sarra & Justice Barbara Romaine (eds.), 2017 Annual Review of Insolvency Law (Canada), pp. 1051-1085 (Toronto: Thomson Reuters, 2018).

Charles D. Booth & Trevor N. Lain, *Rescuing Hong Kong Companies with Provisional Supervision: Proposals that Workers and Management Can Support*, 40 HONG KONG LAW JOURNAL 271-292 (2010).

Philip Smart, Charles D. Booth & Stephen Briscoe, *Investigation of Insolvent Companies in Hong Kong: A Corporate Governance Crisis*, 39 HONG KONG LAW JOURNAL 59-63 (2009), available at http://ssrn.com/abstract_id=1432958.

Charles D. Booth, *The 2006 PRC Enterprise Bankruptcy Law: The Wait is Finally Over*, 20 SINGAPORE ACADEMY OF LAW SPECIAL ISSUE 275-315 (2008), available at http://ssrn.com/abstract_id=1547464.

Philip Smart, Stephen Briscoe & Charles D. Booth, *Insolvent Liquidation in Hong Kong: A Crisis of Confidence*, (2007) 4(5) INTERNATIONAL CORPORATE RESCUE 263-275 (UK), available at http://ssrn.com/abstract_id=1552228.

Douglas W. Arner, Charles D. Booth, Paul Lejot & Berry F. Hsu, *Property Rights, Collateral, Creditor Rights and Insolvency in East Asia*, 42 TEXAS INTERNATIONAL LAW JOURNAL 515-560 (USA, 2007) (an updated and revised version of 17 EUROPEAN BUSINESS LAW REVIEW 1215 (2006), available at http://ssrn.com/abstract_id=1367537).

Charles D. Booth, Philip Smart & Stephen Briscoe, Chapter 12, *Corporate Rescue in Hong Kong*, in Rodrigo Olivares-Caminal (ed.), Expedited Debt Restructuring: An International Comparative Analysis, pp. 291-315 (The Netherlands: Wolters Kluwer, 2007).

Charles D. Booth, Zhang Xian Chu & Wendy Chiu, *The People's Republic of China*, Chapter 18, in Collier International Business Insolvency Guide, pp. 18-1 to 18-64 (LexisNexis, 2006).

Douglas W. Arner, Charles D. Booth, Berry F. Hsu & Paul Lejot, *Property Rights, Collateral, Creditor Rights and Financial Development*, 17 EUROPEAN BUSINESS LAW REVIEW 1215-1240 (The Netherlands, 2006) (adapted from publication immediately below).

Douglas W. Arner, Charles D. Booth, Berry F. Hsu, Paul Lejot, Qiao Liu & Frederick Pretorius, *Property Rights, Collateral and Creditor Rights in East Asia*, Part III.B, in Ismail Dalla (ed.) East Asian Finance: Selected Issues (World Bank, 2006, CD), 43 pp., and parts incorporated into Swati R. Gosh, East Asian Finance: The Road to Robust Markets (World Bank, 2006).

Charles D. Booth & Robert E. McBain, *Trends and Developments in Insolvency Systems and Risk Management: The Experience of Hong Kong*, Credit Risk and Credit Access in Asia, pp. 181-193 (Paris: OECD, 2006).

Charles D. Booth, *Corporate Reorganization in Hong Kong: Can Provisional Liquidation Come to the Rescue?* 2(2) JOURNAL OF RESTRUCTURING FINANCE 183-188 (Singapore, 2005) (an updated and revised version of the discussion of corporate rescue in *Recent Developments in Corporate and Cross-Border Insolvency Law in Hong Kong* forthcoming in publication two below).

Charles D. Booth, *Drafting Bankruptcy Laws in Socialist Market Economies: Recent Developments in China and Vietnam*, 18(1) COLUMBIA JOURNAL OF ASIAN LAW 93-147 (USA, 2004), available at http://ssrn.com/abstract_id=1367537.

Charles D. Booth, *Recent Developments in Corporate and Cross-Border Insolvency Law in Hong Kong*, in Insolvency Risk Management: Standards and Strategies for the Next Decade (est. 12 pp.) (World Bank, forthcoming).

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Charles D. Booth, *Securitization in Emerging Markets, Including Government Promotion of Securitization: A Comment on Hill and Arner*, 12(2) DUKE JOURNAL OF COMPARATIVE & INTERNATIONAL LAW 533-536 (USA, 2002), available at <http://ssrn.com/abstract=1547447>.

Co-participant with George M. Kelakos, Saturo Murase, Arnold M. Quittner & Mark Sterling, *Corporate/Debt Restructuring Roundtable: Japan, The Hong Kong SAR & the People's Republic of China – A Roundtable Discussion*, 10 AMERICAN BANKRUPTCY INSTITUTE LAW REVIEW 1-28 (2002), available at <http://ssrn.com/abstract=1529824>.

Philip Smart & Charles D. Booth, *Corporate Rescue: Hong Kong Developments*, 10 AMERICAN BANKRUPTCY INSTITUTE LAW REVIEW 41-45 (2002) (updated version of 1 BANKING TODAY 32-33 (Sept./Oct. 2001)), available at <http://ssrn.com/abstract=1532372>.

Zhang Xian Chu & Charles D. Booth, *Chinese Bankruptcy Law in an Emerging Market Economy: The Shenzhen Experience*, 15 COLUMBIA JOURNAL OF ASIAN LAW 1-32 (USA, 2001), available at <http://ssrn.com/abstract=1532376>.

Philip Smart & Charles D. Booth, *Reforming Corporate Rescue Procedures in Hong Kong*, JOURNAL OF CORPORATE LAW STUDIES 485-499 (UK, Dec. 2001), available at <http://ssrn.com/abstract=1547462>.

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Charles D. Booth, *Leaping forward to 1997: Bankruptcy Law Reform in Hong Kong*, 6 INTERNATIONAL INSOLVENCY REVIEW 183-209 (UK, 1997), available at <http://ssrn.com/abstract=1548071>.

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Charles D. Booth & Philip Smart, *PRC Corporations, Garnishee Orders and Transnational Insolvency*, Comment, 11(5) JOURNAL OF INTERNATIONAL BANKING LAW 216-17 (UK, May 1996), available at <http://ssrn.com/abstract=1548080>.

Charles D. Booth, *The Transnational Aspects of Hong Kong Insolvency Law*, 2 SOUTHWESTERN JOURNAL OF LAW & TRADE IN THE AMERICAS 1-80 (USA, 1995); republished as Ch. 29, in Vol. 3, Practising Law Institute Commercial Law and Practice Course Handbook, No. A-738 (USA, Apr. 1996), available at <http://ssrn.com/abstract=1547445>.

Charles D. Booth, *The Old Age Pension Scheme: A Tax by Any Other Name . . .*, Comment, 24 HONG KONG LAW JOURNAL 319-27 (1994), available at <http://ssrn.com/abstract=1547467>.

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Charles D. Booth & Donald J. Lewis, *Liwan District Construction Company v. Euro-America China Property Limited*, Case Digest, 4(6) CHINA LAW & PRACTICE 27-34 (Hong Kong, 1990).

Charles D. Booth, *Recognition of Foreign Bankruptcies in a Transnational Setting: An Analysis of the Laws of the United States*, Vol. 1, Ch. 18, pp. 18-1 to 18-54, in Ved Nanda (ed.), The Law of Transnational Business Transactions (USA, Clark Boardman Co. Ltd., July 1988); revision, Vol. 1B, Ch.18, pp.18-1 to 18-70 (Clark Boardman Callaghan, Oct. 1989); 1989 revision republished in Japanese, 17 KOKUSAI SHOJI HOMU (JOURNAL OF THE JAPANESE INSTITUTE OF INTERNATIONAL BUSINESS LAW) 711-28, 839-58 (Hideyuki Sakai and Shinjiro Takagi, trans.) (1989).

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PROFESSIONAL JOURNAL ARTICLES:

Douglas Arner, Gordon Walker, Charles D. Booth, & Michael Panton, *Evaluating the EBRD's Legal Transition Programme*, 2 LAW IN TRANSITION 10-15 (European Bank for Reconstruction

and Development) (May 2013), available at: <http://www.ebrd.com/downloads/research/law/lit13ec.pdf>.

Charles D. Booth, *Editor's Note – China: Where are we in the Economic Cycle? – The New Chinese Bankruptcy Law* and Philip Smart, Stephen Briscoe & Charles Booth, *Insolvent Liquidation: A Corporate Governance Disaster*, Highlight Article, INSOL ELECTRONIC NEWSLETTER (Nov. 2007).

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Charles D. Booth, *Consumer Bankruptcy in Hong Kong: Record Level of Filings is Likely to Continue*, HONG KONG LAWYER 72-78 (English), 79-84 (Chinese) & available at <http://www.hk-lawyer.com/2002-8/Default.htm> (Aug. 2002).

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Charles D. Booth, *Chinese Insolvency Law: Developing an Insolvency Infrastructure*, Symposium Report, INSOL WORLD 5-6 (UK, March 2001); republished in Global Watch Section, 10 INTERNATIONAL INSOLVENCY REVIEW 74-77 (UK, 2001) & in IPBA JOURNAL 13-15 (Hong Kong, 2001); abbreviated version published in INSOLVENCY LAW BULLETIN 129 (Australia, Mar. 2001).

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Philip Smart & Charles D. Booth, *Corporate Rescue: This Year, Next Year . . .*, HONG KONG LAWYER 50-55 (English), 55-58 (Chinese) & available at <http://www.hk-lawyer.com/2000-6/Default.htm> (June 2000); republished as *Corporate Rescue in Hong Kong: This Year, Next Year . . .*, GLOBAL INSOLVENCY & RESTRUCTURING REVIEW 13-17 (UK, Mar. 2001).

Philip Smart & Charles D. Booth, *New Insolvency Law: Traps and Gaps*, HONG KONG LAWYER 62-64 (English), 64-66 (Chinese) & available at <http://www.hk-lawyer.com/1999-1/Default.htm> (Jan. 1999).

Charles D. Booth, *Hong Kong Bankruptcy Law Reform*, INSOL WORLD 13 (UK, March 1998) & available at <http://www.insol.org/newinsolworld/mar98/nlmar98fepr.html>; republished in HONG KONG ACCOUNTANT 101-2 (March/April 1998).

Charles D. Booth, *Bankruptcy Law Reform*, Opinion, THE NEW GAZETTE 8 (Hong Kong, Aug. 1995).

REPORTS & WEB-BASED PUBLICATIONS:

Charles D. Booth, *The Executive Summary of Evaluation of the Mid-Term Review of the Vietnamese Debt Resolution Program* (18 pp.) for the World Bank Group, Finance & Markets Global Practice, East Asia Region, available on the World Bank Group's website at http://www.ifc.org/wps/wcm/connect/topics_ext_content/ifc_external_corporate_site/development+impact/resources/summaries (Feb. 2017).

Jason J. Kilborn (Chair), Charles D. Booth, Johanna Niemi, Iain D.C. Ramsay, and Jose M. Garrido (Secretariat), *Report on the Treatment of Insolvency of Natural Persons, Report* (143 pp.) for the World Bank – Insolvency and Creditor/Debtor Regimes Task Force, Working Group on the Treatment of the Insolvency of Natural Persons, available on the World Bank's website at www.worldbank.org/gild and http://wwds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2013/05/02/000333037_20130502131241/Rendered/PDF/771700WP0WB0In00Box377289B00PUBLIC0.pdf (May 2013).

Tomasz Bartos and the EBRD Evaluation Department in conjunction with Douglas Arner, Charles D. Booth, Gordon Walker, and Michael Panton, *Special Study – Legal Transition Programme Review* (Oct. 1, 2012) (46 pp. plus appendices), University of Hong Kong Faculty of Law Research Paper No. 2012/040, available at <http://ssrn.com/abstract=2181251> or <http://dx.doi.org/10.2139/ssrn.2181251> and on the EBRD's website at <http://www.ebrd.com/downloads/about/evaluation/121109legal.pdf> (Nov. 2012).

Jason J. Kilborn, Charles D. Booth, Johanna Niemi, and Iain D.C. Ramsay, *Third Draft Report on the Treatment of Insolvency of Natural Persons, Report* (123 pp., plus Appendices) for the World Bank – Insolvency and Creditor/Debtor Regimes Initiative Task Force, Working Group on the Treatment of the Insolvency of Natural Persons, available on the World Bank's website at www.worldbank.org/gild and www.worldbank.org/legal (Oct. 2012).

Charles D. Booth, Philip Smart, Stephen Briscoe & Wendy Chiu, *Insolvency Case Study: Hong Kong*, available on the World Bank's website at <http://rru.worldbank.org/doingbusiness/TopicReports/ClosingABusiness/Contact.aspx?regionid=43>, 28 pp. (2002; uploaded Mar. 2004); data incorporated into *Doing Business in 2004: Understanding Regulation* (World Bank, the International Finance Corporation, & Oxford University Press, 2004). Data from a further 11 pp. update, Charles D. Booth, Philip Smart and Stephen Briscoe (June 2005) incorporated into *Doing Business in 2005: Understanding Regulation*, from a further 6 pp. update (May 2006) incorporated into *Doing Business in 2006: Understanding Regulation*, and from a further update (June 2007) incorporated into *Doing Business in 2007: Understanding Regulation*.

Charles D. Booth, *Comments and Suggestions on the Draft Bankruptcy Law of Vietnam and an Overview of Recent Developments in the Hong Kong SAR and the PRC*, ABA-UNDP International Legal Resources Center, 36 pp. (June 2002).

Charles D. Booth, John Lees, Henry Pitney & Charles Tabb, *Comments and Suggestions on the Draft Bankruptcy Law of the People's Republic of China*, International Republican Institute, 46 pp. (Apr. 2002).

Wang Weiguo & Charles D. Booth, *Study on Alternative Approaches for Debt Restructuring of Enterprises in China*, World Bank Report for the State Economy and Trade Commission of China (English, 125 pp. & Chinese, 82 pp.) (China, 2001) (responsible for Part III *International Comparisons on Approaches of Debt Restructuring of Distressed Enterprises*, pp. 69-93).

Charles D. Booth, Report on the Proceedings of the ADB's Joint Symposium on Insolvency and Secured Transactions, October 26-27, 1999, Manila, Philippines, available on the ADB's website at http://www.insolvencyasia.com/Local_studies/Report%20on%20Joint%20Symposium.htm, 18 pp. (Apr. 2000).

Charles D. Booth, Local Study of Insolvency Law Regimes: Report on Hong Kong, China, available on the ADB's website at http://www.insolvencyasia.com/insolvency_law_regimes/hongkong/index.html, 118 pp. (Mar. 1999).

BOOK REVIEWS:

Charles D. Booth, *Corporate Insolvency Law: Perspectives and Principles* – by Vanessa Finch (2002), 34(1) HONG KONG LAW JOURNAL 214-218 (2004), available at <https://ssrn.com/abstract=1548088>.

Charles D. Booth, *Collier Bankruptcy Compensation Guide* – by Stanley B. Bernstein and Lawrence P. King (eds.) (1988), 1(3) BOWKER'S LEGAL PUBLISHING PREVIEW 6-7 (Apr. 1989).

Charles D. Booth, *Commercial Loan Documentation Guide* – by Joseph J. Norton and Terry W. Conner (eds.) (1988), 1(3) BOWKER'S LEGAL PUBLISHING PREVIEW 7 (Apr. 1989).

IN MEMORIAM:

Andrew J. Halkyard & Charles D. Booth, *In Memoriam: Philip St. John Smart, 1961-2008*, 38 HONG KONG LAW JOURNAL 321-323 (2008); republished in 18 INTERNATIONAL INSOLVENCY REVIEW 1-3 (UK, 2009), available at <http://ssrn.com/abstract=1552179>.

NEWSPAPER ARTICLES:

Charles D. Booth, Letter to the Editor, *If we want prosperity to return, root for the Yankees*, HONOLULU STAR ADVERTISER (Oct. 6, 2011).

Charles D. Booth, *Breaking the grip of debt: Fast-track discharge could ease Hong Kong's growing bankruptcy burden*, Analysis, SOUTH CHINA MORNING POST, BUSINESS 2, p. 3 (Nov. 4, 2002).

Charles D. Booth, *Education Vital to Maintain the Rule of Law*, Analysis, SOUTH CHINA MORNING POST 19 (Nov. 18, 1999).

MEDIA & OTHER INTERVIEWS:

TV/ Video: Think Tech Hawaii, featured in a 30-minute segment to discuss Asian insolvency, U.S.-China Relations, U.S.-Hong Kong Relations and the upcoming Paul Chung Memorial Lecture Panel, Sept. 8, 2020: <https://vimeo.com/455992848>.

Interviewed about Hong Kong insolvency law in relation to Hong Kong Restructuring Week Nov. 5-9, 2018 Week, Nov. 5, 2018: http://absolutemomento.myds.me/HK_Restructuring_Week/CharlesBooth_v1.mp4.

Think Tech Hawaii, *Law Across the Sea*, Hawai'i – featured in a 30-minute segment to discuss *Asian Insolvency Issues*, Apr. 27, 2017:

https://m.youtube.com/watch?list=PLQpkwcNJny6nbbMit_n62DnNpcNhhXbI&v=eH4qIeIqNnk.

CCTV taped an “oral history” project on the Chinese SOE reform process, which led to a documentary series and a 90-minute film; they interviewed me on bankruptcy and reorganization issues for 2 ½ hours in Beijing, China, on Apr. 2, 2014.

Asia in Review, ‘Olelo Community Station 54, Hawa‘ii – Featured on 30-minute segment on *Insolvency Law in Hong Kong and China*, Nov. 2, 2010, posted at <http://vimeo.com/16446199>.

Press: *Q&A: Charles D. Booth on litigation finance in Asia*, BURFORD QUARTERLY (Winter 2016), Burford Capital, posted at <http://www.burfordcapital.com/wp-content/uploads/2016/01/Burford-Quarterly-Winter-2016.pdf>.

The Alumni Corner with Charlie Booth, by Jeremy Opolsky, Vol. LIV(6) CLEARGOLAW NEWS 14, Cleary Gottlieb Steen & Hamilton (Nov. 2012), posted at www.law.hawaii.edu/sites/www.law.hawaii.edu/files/content/KeKula/Cleargolaw201211%5B1%5D.pdf.

Legislating for Troubled Times, Executive Profile of Charles D. Booth, by Caroline Biebuyck, COMPANY SECRETARY 24-27 (Hong Kong: June 2002).

Reports: Interviewed about corporate insolvency in China, by Baron Public Affairs for its study commissioned by the Office of Net Assessment at the US Department of Defense on how a fiscal crisis could reshape the global competitive landscape, particularly as related to the US-China relationship, June 2, 2021.

IAPBL SYMPOSIA & SEMINAR SUMMARIES:

Charles D. Booth, *Unlocking Value in the PRC: The Changing Nature of Restructurings on the Mainland – A Summary of a Symposium Held in Hong Kong on 10 October 2014*.

Charles D. Booth & Elizabeth Chan, *Entrepreneurialism, Risk Assessment & Private Investment in China*, Oct. 18, 2007, posted on the IAPBL website at <http://www.iapbl.com>.

Charles D. Booth & Elizabeth Chan, *Asian-Pacific Corporate Insolvency Law: Cross-Border and Comparative Perspectives – A Summary of the Inaugural IAPBL Symposium*, Apr. 10, 2007, posted on the IAPBL website at <http://www.iapbl.com>.

ADDITIONAL LAW REFORM AND LEGISLATIVE SUBMISSIONS AND ACTIVITIES:

Provided the World Bank with updates on recent insolvency reforms enacted around the world to address economic problems caused by COVID-19, April-June 2020.

Delegate, International Insolvency Institute, to UNCITRAL Working Group II (Arbitration and Conciliation), Settlement of Commercial Disputes: Draft Convention on Transparency in Treaty-Based Investor-State Arbitration, United Nations, NYC, Feb. 7, 2014.
Invited Reviewer, Research Division of the Federal Judicial Center (FJC) and Advisory

Committee on Bankruptcy Rules to comment on the proposed Chapter 15 petition form as part of the Bankruptcy Forms Modernization Project, Sept. 2013.

Informal consultant to the Hong Kong Financial Services and the Treasury Bureau regarding Hong Kong insolvency law reform, 2010-2014.

Submission with Trevor N. Lain to the Hong Kong Financial Services and Treasury Bureau in relation to its consultation paper entitled *Review of Corporate Rescue Procedure Legislative Proposals*, 16 pp., Apr. 15, 2010 (revised and published as 40 HONG KONG LAW JOURNAL 271 (2010)).

Team member, World Bank project, East Asia Regional Financial Sector Development Flagship Study: Property Rights, Collateral and Creditor Rights in East Asia, Nov. 2005-June 2006.

Involved in UNCITRAL Legislative Drafting Guide on Insolvency Law Project, Represented the International Insolvency Institute (III) at the UNCITRAL session at the United Nations in NYC, July 27, 2001; Co-chair, III Task Force on Creditors and Claims (Feb.-July 2001); and participated in the UNCITRAL session in Vienna, Austria, Dec. 4-6, 2000.

Senior Consulting Expert (in the area of insolvency law), the Evaluation (Mid-Term Review) of the European Bank for Reconstruction and Development's Legal Transition Programme (June-July 2001).

Contributor, International Insolvency Institute Submission on the World Bank Report on Insolvency (author of Systemic Crises in Emerging Markets section), Feb. 2001.

Co-organizer with Philip Smart and participant, Workshop on A New Proposal for Provisional Supervision and Workers' Wages, Hong Kong Faculty of Law, Oct. 26, 2000 (revised and published as 31 HONG KONG LAW JOURNAL 188 (2001)).

Member of The Law Reform Commission of Hong Kong Sub-Committee on Debt Collection, participated in the drafting of the Sub-Committee's Consultation Paper on the Regulation of Debt Collection Practices, July 2000.

Member of the Hong Kong Society of Accountants Insolvency Practitioners Committee (HKSA IPC) Ad Hoc Working Party on the Companies (Amendment) Bill 2000, participated in the drafting of the Submission of the HKSA IPC to the Hong Kong Legislative Council Bills Committee on the Companies (Amendment) Bill 2000, March 2000.

Invited Submission (as Acting Dean) on behalf of the HKU Faculty of Law to the Hong Kong Legislative Council Panel on Administration of Justice and Legal Services – on legal education, 10 pp. (text only), Apr. 13, 1999, & invited presentation to the Panel, June 5, 1999.

Joint Submission with Philip Smart to the Hong Kong Law Reform Commission Sub-Committee on Insolvency – on the winding-up provisions of the Companies Ordinance, 28 pp., Dec. 7, 1998 (published as 8 INTERNATIONAL INSOLVENCY REVIEW 27 (1999) and 34 INTERNATIONAL LAWYER 255 (2000)).

Invited Submission to the U.S. National Bankruptcy Conference Working Group on the UNCITRAL Recommendations – on the proposed new Chapter 6 (Cross-Border Insolvency) of the U.S. Bankruptcy Code, 5 pp., Feb. 3, 1998. Also submitted to the Minority General Counsel, Majority Counsel, and Minority Professional Staff of the U.S. House Judiciary Committee and to the Minority Chief Counsel and Majority Counsel of the U.S. Senate Judiciary Committee.

Submission to the Law Reform Commission of Hong Kong Sub-Committee on Insolvency – on Hong Kong cross-border insolvency law reform, 6 pp. (text only), Feb. 21, 1997 & invited presentation to the Sub-Committee, May 6, 1997.

Submission to the Australian Law Reform Commission – on the Commission's circular entitled *Cross border civil remedies*, 2 pp., Mar. 15, 1996.

Submission to the Education and Manpower Branch, Hong Kong Government – on the government's pension consultation paper, 9 pp., Oct. 28, 1994 (revised and published as 24 HONG KONG LAW JOURNAL 319 (1994)).

Submission to the Law Reform Commission of Hong Kong Sub-Committee on Insolvency – on proposed bankruptcy legislation, 16 pp. (text only), Oct. 15, 1993.

RESEARCH GRANTS:

Group:

Co-investigator, HK\$666,000 (US\$85,900) grant from the HKU Research Committee Seed Funding for Strategic Research Theme for *Public Law and Public Policy Strategic Research Area: Corporate and Financial Law and Policy Research Theme* to support research based in AIIFL and at HKU on corporate and financial policy, May 2005-Oct. 2006; one of 8 co-investigators; Principal Investigator was Douglas Arner.

Co-investigator, HK\$200,000 (US\$25,800) grant from the HKU Research Committee Seed Funding for Strategic Research Theme for *China Studies Strategic Research Area: Business and Law Theme* to support research based in AIIFL on China's on-going corporate and financial reforms, May 2005-Oct. 2006; one of 5 co-investigators.

Principal Investigator, HK\$520,000 (US\$67,000) grant from the Hong Kong Research Grants Council – to research *Moving from a planned economy to a market economy: the development of a new insolvency system in mainland China and its cross-border impact*, Dec. 31, 2001-June 30, 2004. Co-investigators were Zhang Xian Chu, Philip Smart & Prof. Wang Wei Guo (CUPL, Beijing).

Co-investigator, HK\$88,500 (US\$11,400) grant from the University of Hong Kong Committee on Research and Conference Grants (HKU CRCG) – to research *Insolvency law and practice in Shenzhen and its effect on business operations in the Hong Kong SAR*, July 1, 1998 - June 30, 2000; Co-investigator with Philip Smart, Principal Investigator was Say Goo.

Individual:

HK\$4,000 (US\$500) grant from the HKU CRCG – to research “1997 and Hong Kong and PRC transnational insolvency,” Spring 1995.

US\$6,000 grant from the Research and Training Revolving Fund, University of Hawai'i at Manoa – traveled to Japan, China, and Singapore to research transnational bankruptcy law, to meet with local lawyers and academics, and to lecture on United States law, Summer 1988.

US\$5,000 grant from the Research and Training Revolving Fund, University of Hawai'i at Manoa – traveled to Japan, Hong Kong, and England to research transnational bankruptcy law, to meet with local lawyers and academics, and to lecture on United States law, Summer 1987.

ACADEMIC VISITS & SHORT-COURSE TEACHING:

Visiting Professor, Department of Law, University of Hong Kong. Taught an intensive LLM Course, Cross-Border Insolvency, in Hong Kong from Jan. 3-10, 2020, and the remainder of

the semester on Zoom; Spring Semester 2020.

2016-17 Max Kade Visiting Professor, Bucerius Law School, Hamburg, Germany, Dec. 2016. Selected by the American Friends of Bucerius Law School; taught Comparative Corporate Bankruptcy Law, Nov. 28-Dec.16, 2016.

Visiting Scholar, Asian Law Centre, Melbourne Law School, University of Melbourne, Australia, Oct. 24-31, 2016.

Visiting Professor, Ateneo de Manila University School of Law and University of the Philippines College of Law, Manila, Philippines, Dec. 6-9, 2013.

Visiting Professor, Meijo University, Nagoya, Japan, June 25-30, 2013.

Visiting Professor, Peking University, 2012-2013 University of Hawai'i at Manoa-Peking University Exchange Program, Apr. 13-25, 2013.

Visiting Professor, taught Comparative Insolvency Law, Global Business Law Course, Faculty of Law and Management, La Trobe University, Melbourne, Australia, Aug. 16-22, 2012.

Visiting Professor, taught Comparative Insolvency Law, Global Business Law Course, Faculty of Law and Management, La Trobe University, Melbourne, Australia, Jan. 17-21, 2011.

Parsons Visitor in the Faculty of Law, University of Sydney, Sydney, Australia, March 10-15, 2005.

Visitor, Ewha Womans University, Seoul, Korea, May 8-11, 2002.

Visiting Professor, University of Wisconsin Law School, Madison, WI, Nov. 12-15, 2001.
Visiting Colleague, The William S. Richardson School of Law, University of Hawai'i at Manoa, HI, Dec. 13-19, 1999.

Visitor, Duke University School of Law, Durham, NC, Nov. 13-15, 1995.

Scholar-in-Residence, Benjamin N. Cardozo School of Law, Yeshiva University, NYC, NY, Dec. 1992.

INVITED KEYNOTE & KEY SPEAKER PRESENTATIONS:

Panelist, Dr. N.H. Paul Chung Memorial Lecture Panelist, U.S.-China Relations: Duel or Duet?, Pacific Asian Management Institute at the Shidler College of Business, University of Hawai'i at Manoa & the University of Hawai'i Center for Chinese Studies, Zoom Webinar, Sept. 11, 2020:

YouTube: <https://youtu.be/Mp7UgaKSk4E> & Vimeo: <https://vimeo.com/457125373>

Invited to give a Keynote Speech at a conference to be held at the University of Hong Kong from Dec. 5-6, 2019, to mark the 20th Anniversary of the founding of AIIFL. Unfortunately, student protests throughout Hong Kong led to the cancellation of the conference.

Key Speaker, *Corporate Insolvency in China: Developing Effective Insolvency Infrastructures & Cross-Border Cooperation Mechanisms* at Academic Workshop - Insolvency law in Asia-Pacific: trends and developments in China, Singapore and Australia, Asian Law Center, Melbourne Law School, University of Melbourne & King & Wood Mallesons, Melbourne, Australia, Oct. 24, 2016.

Key Speaker, *Corporate Insolvency in China: Developing Effective Insolvency Infrastructures & Cross-Border Cooperation Mechanisms* at Academic Workshop - Insolvency law in Asia-Pacific: trends and developments in China, Singapore and Australia, Asian Law Center, Melbourne Law School, University of Melbourne & King & Wood Mallesons, Melbourne, Australia, Oct. 24, 2016.

Keynote Speaker, *Cross-Border Insolvency in the ASEAN Economic Community: Developing Effective Insolvency Infrastructures and Cross-Border Cooperation Mechanisms*, invited by the Legal Execution Department (LED) and the Ministry of Justice (MoJ) Department in Thailand to speak at the International Conference on Cross-Border Insolvency Conference organized by LED, the MoJ, and the UNCITRAL Regional Center for Asia and the Pacific in Bangkok, Thailand, June 23-24, 2016. Also spoke on the cross-border insolvency panel and participated in the closed session of representatives from all ASEAN Member States, Dialogue Partners (Japan, the PRC, and Korea) and multilateral institutions.

Keynote Speaker, *Asian Corporate Insolvency Reform in the Aftermath of the 1997 Financial Crisis: The Need for the Continued Development of Effective Insolvency Infrastructures*, OECD Forum on Asian Insolvency Reform (FAIR), Bangkok, Thailand, July 17, 2009.

INVITED CONFERENCE PAPERS AND PRESENTATIONS:

Panellist, *What is Different About MSMEs that Justifies the Need for Specialized Procedures?*, Assisting MSMEs through insolvency in a timely manner, 12th Forum on Asian Insolvency Law (FAIR), World Bank Group & INSOL Int'l, A Virtual Meeting Preparing for the Recovery, via Zoom, Sept. 14, 2021.

Panellist, Asia Panel: The Belt and Road Initiative – The Implications for Dispute Resolution, Restructuring and Insolvency, 19th Annual International Insolvency Institute Conference, Barcelona, Spain, June 18, 2019.

Presenter, *Asian Corporate Insolvency Reform in the Aftermath of the Asian Financial Crisis: The Ongoing Development of (Effective) Insolvency Infrastructures*, Session 2, Contemporary Legal Issues in Financial Law: Comparative Perspectives of South Korea and the United States, The Korea Financial Law Association, UH Center for Korean Studies, PALS & IAPBL, Richardson School of Law & co-sponsored by the Korea Securities Depository, Center for Korean Studies, University of Hawai'i at Manoa, Jan. 23, 2019.

Chair, Personal Insolvency – Causes and Relevant Legislation Panel, 11th Forum on Asian Insolvency Reform (FAIR), The Court of Justice of Thailand in partnership with INSOL International and the World Bank Group, Bangkok, Thailand, Sept. 18, 2018.

Speaker, *Update on Recent Developments in Asian Insolvency Law*, International Exchange of Experience on Insolvency Law (IEEI) 19th Colloquium, Athens, Greece, May 17, 2018. Speaker, *An Overview of Good International Practices in Insolvency & Restructuring – Key features of an insolvency regime*, Workshop on Exchange of Insolvency Best Practices: Towards Reforming Lao PDR Insolvency & Debt Resolution Practice, World Bank Group, Finance, Competitiveness & Innovation Global Practice, Vientiane, Laos, Mar. 22, 2018.

Moderator & Speaker, *Singapore's Attempt to Become a Regional Commercial Law, Insolvency Law & Restructuring Hub: Should Hong Kong Be Worried*, Business and Investment Panel, Chinese Justice in a Global Context Symposium, Richardson School of Law, University of Hawai'i at Manoa, Mar. 9, 2018.

Panelist, discussed *An Analysis of Consumer Insolvency Trends in Hong Kong in the Aftermath of the 1997 Asian Financial Crisis and the 2007-2008 Global Financial Crisis*, Developments in Consumer Insolvency Law Internationally Panel, 15th Annual Review of Insolvency Law Conference, University of British Columbia Center for Business Law, Peter Allard School of Law, Vancouver, Canada, Feb. 9, 2018 (published in 2017 Annual Review of Insolvency Law (Canada), 2018).

Speaker, *Singapore's Attempt to Become a Regional Insolvency and Restructuring Hub: Will Universalism Emerge?*, Business International Panel, The Texas Law Review's 2018 Symposium, Bankruptcy's Universal Pragmatist – A Celebration of the Work of Jay Lawrence Westbrook, University of Texas School of Law, Austin, Texas, Feb. 3, 2018.

Moderator, Cross-Border Restructuring: An Overview Panel, Turnaround Management Association Asia Pacific Conference, Hong Kong, Nov. 16, 2017.

Speaker, *Capacity Building and Infrastructure Development: The Path to Increased Cooperation in Asian Insolvency Law*, Asian Business Lawyer Symposium 2017: Convergence and Cooperation in Asian Business Law, The Legal Research Institute of Korea University, Seoul, Korea, Sept. 23, 2017. (Partly funded by a University of Hawai'i Faculty Research Travel Grant.)

Chair and Commentator, Regional Developments in Asia Panel, Academics Colloquium, INSOL 2017 Tenth World Congress, Sydney, Australia, Mar. 19, 2017.

Panelist, *Consumer and SME/MSME insolvency systems in Asia*, 10th FAIR, Supreme People's Court of Vietnam in partnership with INSOL International and the World Bank Group and in association with APEC Business Advisory Council (ABAC), Hanoi, Vietnam, Nov. 21, 2016.

Panel Organizer and Chair, The Knock-On Effect: Adverse Consequences for China's Trading Partners and Industries Around the Globe, 16th Annual International Insolvency Institute Conference, Tokyo, Japan, June 7, 2016. (Discussed in Kyriaki Karadelis, *A golden age for Chinese bankruptcy law?*, GLOBAL RESTRUCTURING REVIEW, 9 pp., Sept. 2, 2016, at <http://globalrestructuringreview.com/article/1067555/a-golden-age-for-china%E2%80%99s-bankruptcy-law>.)

Speaker, *Insolvency and Secured Transactions Legal Reforms in Asia*, The Intersection of Insolvency and Secured Transaction Regimes Panel, Bank Negara Malaysia-World Bank Credit Infrastructure Program, Lanai Kijang, Kuala Lumpur, Malaysia, Apr. 5, 2016; also assisted with Secured Transactions Case Study on Apr. 7, 2016. (Training session for policy makers from World Bank client countries on insolvency, credit reporting and secured transactions.)

Speaker, China Corporate Restructuring Panel, 2016 Asia Business Conference, Harvard Business School Asia Business Club, Harvard Law School Asia Law Society, Harvard Kennedy School Southeast Asia Caucus & China Society, and Harvard University Graduate School of Design Asia Real Estate Student Association, Harvard Business School, Cambridge, MA, Feb. 28, 2016.

Panelist, China's New Normal: How Far, How Deep, and What Sort of Impact? Panel, Association of Insolvency and Restructuring Advisors (AIRA), AIRA's 14th Annual Advanced Restructuring & Plan of Reorganization Conference, Union League Club of New York, NYC, Nov. 2, 2015.

Member of Organizing Committee, Panel Co-Organizer, Presenter of Opening and Closing Remarks & Chair, Overlapping Insolvency Jurisdiction: Competing Filing Strategies for

Debtors and Creditors Panel, Unlocking Value in the PRC: Chinese Restructuring and Its Cross-Border Implications, Institute of Asian-Pacific Business Law (IAPBL), William S. Richardson School of Law, University of Hawai'i at Manoa & Hong Kong Chapter of the Turnaround Management Association (TMA HK Chapter), Hong Kong, Oct. 23, 2015.

Speaker, Overview of PRC Restructuring Panel, 2015 Beijing Insolvency and Restructuring Symposium, American Bankruptcy Institute (ABI) & NYU Law School, Beijing, China, Oct. 19, 2015.

Panel Co-Organizer and Chair, Restructuring Cross-Border Debt in Asia and the Use of UK and US Legislative Regimes Panel, 15th Annual International Insolvency Institute Conference, Naples, Italy, June 16, 2015.

Speaker, Emerging Issues in Corporate Restructuring in China Panel, 2015 Asia Business Conference – Asia's Evolving Identity, Harvard Business School Asia Business Club, Harvard Law School Asia Law Society, Harvard Kennedy School Southeast Asia Caucus & China Society, and Harvard University Graduate School of Design Chinese Student and Scholars Association, Harvard Business School, Cambridge, MA, Mar. 1, 2015.

Lead Symposium & Roundtable Organizer & Presenter of Opening and Closing Remarks & Chair/Speaker, Recent Developments in Japanese Business Reorganization Schemes and Practice – Will Majority Rule Replace Unanimity? Panel, Hawai'i-Japan Insolvency Law Symposium, IAPBL, Richardson School of Law, University of Hawai'i at Manoa & Insolvency Practitioners Research Group, Japan & International Insolvency Institute, Honolulu, HI, Feb. 17-18, 2015.

Lead Symposium Organizer & Presenter of Opening and Closing Remarks, Unlocking Value in the PRC: The Changing Nature of Restructuring on the Mainland, IAPBL, Richardson School of Law, University of Hawai'i & TMA Hong Kong Chapter, Hong Kong, Oct. 10, 2014.

Co-Chair, UNCITRAL Projects: A Model Law for Recognition of Insolvency Judgments and Other Recent Developments Panel, ABA Business Bankruptcy Committee Spring Meeting, Los Angeles, CA, Apr. 10, 2014.

Chair, The Insolvency of Natural Persons – Developing Modern Insolvency Systems for Natural Persons Panel & Speaker, The Implementation of Insolvency Regimes – Why Fixing the Insolvency Statute is Not Sufficient to Create a Well-Functioning Insolvency Regime Panel, 9th FAIR, Manila, Philippines, Dec. 4 & 5, 2013.

Panelist, International Insolvency and Creditors' Rights Panel, New York State Bar Association International Section Seasonal Meeting 2013, *Building Global Partnerships and Opportunities in Asia – Vietnam and the USA as a Model for Growth and Success*, Hanoi, Vietnam, Oct. 25, 2013.

Moderator, Creating Effective Global Informal Workout Guidelines for Multinational Corporations Panel, 13th Annual International Insolvency Institute Conference, Columbia Law School, NYC, June 17, 2013.

Co-Presenter, *Third Draft Report on the Treatment of Insolvency of Natural Persons Draft Report on the Treatment of Insolvency of Natural Persons* (creditors, exemptions, and home mortgages), World Bank Meeting of the Insolvency and Creditor/Debtor Regimes Task Force Working Group on the Treatment of the Insolvency of Natural Persons, World Bank, Washington, DC, Dec. 13-14, 2012.

Co-Chair & Moderator, International Insolvencies: Tools for Cross-Border Cooperation and Avenues for Cross-Border Relief Panel, ABA Business Bankruptcy Committee Fall Meeting, San Diego, CA, Oct. 25, 2012.

Speaker, Welcome and Research Outcome Panels and *Responding to the 1997 Asian Financial Crisis: Increasing Harmonisation in Insolvency Law but not in Insolvency Infrastructures in China, Japan and Hong Kong*, Security and Insolvency Panel, Commercial Law Reform In Asia Conference (closed), the University of Sydney Law School and the University of Hawai'i Richardson School of Law, Sydney Law School, Australia, Aug. 3-4, 2012.

Speaker, *Consumer Debt and Bankruptcy in Hong Kong*, Comparative Perspectives on Household Debt and Insolvency Panel, 2012 Law and Society Association Meeting, Honolulu, HI, June 7, 2012.

Panel Chair and Commentator, Academics Colloquium, INSOL Miami, FL, May 19, 2012.

Speaker, A Cautionary Tale, Canadian Companies Operating in the People's Republic of China and Challenges for Restructuring Panel, Canadian Annual Review of Insolvency Law, Vancouver, Feb. 10, 2012.

Speaker, Closing the Implementation Gap Panel, 8th FAIR, World Bank, INSOL, Bank Negara, Kuala Lumpur Malaysia, Nov. 30-Dec. 1, 2011.

Speaker, *Developing Effective Insolvency Infrastructures in ASEAN Countries: Laying the Foundation for Cross-Border Insolvency Cooperation*, The 2nd CILS Conference 2011: International Conference on Southeast Asian's Role in Sustainable Development, Faculty of Law, Gadjah Mada University, Yogyakarta, Indonesia, Nov. 22, 2011.

Chair/Co-Chair for three panels, World Bank Meeting of the Insolvency and Creditor/Debtor Regimes Task Force Working Group on the Treatment of the Insolvency of Natural Persons, World Bank, Washington, DC, Nov. 17-18, 2011.

Speaker, *Cross-Border Insolvency Cases with Hawaii's Trading Partners: Post-1997 Developments in China, Japan and Hong Kong*, Hawai'i Bankruptcy Bar Association, Honolulu, Nov. 4, 2011.

Co-Speaker with Carole J. Petersen, *Foreclosure and Alternative Dispute Resolution in the United States: Promoting Social Justice or Merely Delaying the Pain?*, International Conference on Access to Non-Judicial Justice, City University of Hong Kong, Oct. 26-28, 2011.

Moderator, How Companies, Shareholders, Banks and Boards Use Transformation and Turnaround Experts in Asia Panel, Asia Transformation and Turnaround Association (ATTA) Second Annual Conference, Hong Kong, Oct. 22, 2011.

Co-Chair, Getting Your Money out from Behind the Great Wall Panel, International Insolvency Institute 11th Annual International Insolvency Institute Conference, Columbia Law School, New York, June 14, 2011.

Speaker, *The Insolvency of Financial Institutions in the People's Republic of China: AMCs to the Rescue*, Insolvency of Financial Institutions Panel, International Bar Association (IBA) 17th Global Insolvency and Restructuring Conference – Only the Strong Will Survive: Financing and Valuing Distressed Entities, Paris, France, May 24, 2011.

Chair & Speaker, Asian Bankruptcy Court Structures and Insolvency Processes: Impetuses or Impediments to Reform? ABA Business Law Section Meeting, Boston, MA, Apr. 15, 2011.

Chair & Speaker, *Corporate Insolvency In Asia: Developing Effective Infrastructures*, Asia Panel, Academics Meeting, INSOL Singapore, Mar. 14, 2011.

Speaker, *The Restructuring of SOEs in the PRC*, International Insolvency Institute, Special Colloquium on Privatization and Insolvency/Restructuring: New Directions for Transforming State-Owned Enterprises in Greece – International Insolvency Institute meeting with Greek government policy makers, Astir Palace, Athens, Greece, Feb. 5-6, 2011.

Speaker, *Insolvency Law Reform with a focus on Hong Kong, China & Vanuatu*, Presentation of the book “A Global View of Business Insolvency Systems – The state of business insolvency law in the world: a discussion on current developments panel, Insolvency and Creditor/Debtor Regimes Task Force Meeting, The World Bank, Washington, DC, Jan. 10, 2011.

Panel Organizer, Moderator and Speaker, Cross-Border Insolvencies, UNCITRAL & Asia: Inbound and Outbound Issue Panel, ABA Business Law Section Meeting, New Orleans, LA, Oct. 14, 2010.

Member, Conference Planning Committee; Speaker, Asian Insolvency Law Panel; Moderator and Speaker, Cross-Border Insolvency Panel; Speaker, PRC Insolvency Law Panel, ABI Third Hawai‘i Bankruptcy Workshop, ABI, IAPBL, Richardson School of Law, University of Hawai‘i & HSBA Bankruptcy Law Section, Big Island, HI, Aug. 11-14, 2010.

Moderator and Speaker, China’s New Enterprise Bankruptcy Law After Three Years of Experience: Key Lessons and Principal Challenges, ABA Business Law Section Meeting, Denver, CO, Apr. 23, 2010).

Speaker, *Recent Developments in Chinese and Hong Kong Insolvency Law*, Bankruptcy/Insolvency Panel, Asialaw’s 7th Annual Asia-Pacific In-house Counsel Summit, Hong Kong, March 16, 2010.

Lead Symposium Organizer, Introductory and Concluding Remarks & Chair and Commentator, Treatment of Labour Claims in the Consultation Paper: Evaluating the Three Options & Other Possible Alternatives Panel, Symposium: Corporate Rescue in Hong Kong: The Government’s 2009 Legislative Proposal IAPBL, Richardson School of Law, University of Hawai‘i & Asian Institute of International Financial Law (AIIFL), University of Hong Kong Faculty of Law, Hong Kong, Jan 22, 2010.

Seminar Co-Organizer & Speaker, *Using the ‘Worst Times’ to Rebuild: Insolvency & Reorganization, Seizing Opportunities of the Current Market: Acquisitions, Mergers, Refinancings, Restructuring and Business Transitions Seminar*, IAPBL, Pacific Asian Management Institute, the Center for International Business Education and Research, Sennet Capital, Case Lombardi & Pettit, and Hunter Wise Financial Group LLC, Japanese Cultural Center, Honolulu, HI, Nov. 4, 2009.

Moderator & Speaker, The New Chinese Bankruptcy Law & Cross-Border Insolvency Issues –US and Chinese Perspectives Panels, Recent Developments in Chinese Commercial Law: Dispute Resolution, Inbound and Outbound Foreign Investment & Insolvency Law, IAPBL, Richardson School of Law, University of Hawai‘i, Cadwalader, Wickersham & Taft & the China Institute, Cadwalader offices, New York, Oct. 23, 2009.

Moderator and Commentator, The Seven Deadly Sins of Investing in China Panel, 9th Annual International Insolvency Institute Panel, New York, June 18, 2009.

Speaker, Everything You Always Wanted to Know About Cross-Border Insolvency Panel & Understanding the Asian Client and Insolvency – A Look Back and Opportunities for the Future Panel, ABA Section of Business Law Spring Meeting, Vancouver, Canada, Apr. 16-18, 2009.

Moderator and Commentator, Understanding Your Options Panel, Survive and Thrive in the Downturn Seminar, University of Hawai'i Shidler College of Business, IAPBL & Hawai'i Technology Development Corp., Japanese Cultural Center, Honolulu, HI, Jan. 30, 2009.

Speaker, *PRC Insolvency Law*, AIRA Restructuring and Investing Conference, Shanghai, China, Sept. 17, 2008.

Speaker, *In Memoriam: Philip St. John Smart 1961-2008* and *Insolvency Law Reform in the South Pacific: A Case Study of Vanuatu* & Chair and Commentator, PRC Insolvency Law Panel, INSOL Shanghai Conference 2008 Academics' Group, Shanghai, China, Sept. 15-16, 2008.

Symposium Organizer and Chair and Commentator, the 2006 PRC Enterprise Bankruptcy Law Panel, The 2006 PRC Enterprise Bankruptcy Law: A New Beginning?, IAPBL, Richardson School of Law, University of Hawai'i & Asian Institute of International Financial Law (AIIFL), University of Hong Kong Faculty of Law, Hong Kong, Mar. 25, 2008.

Participant, Judicial Panel, and Speaker, Panel Discussion on the New Bankruptcy Law – Cross-Border Issues Faced by Foreign Investment Enterprises, The 11th Beijing Economic Cooperation Symposium, Seminar on Beijing-Hong Kong Corporate Restructuring, Merger & Acquisition and Liquidation, Beijing, China, Nov. 6, 2007.

Speaker, *PRC Insolvency Law*, AIRA Restructuring & Investing Conference, Shanghai, China, Oct. 22, 2007.

Symposium Organizer and Chair and Commentator, Situational Investing in Undervalued Assets Panel, Entrepreneurialism, Risk Assessment, and Private Investment in China Symposium, IAPBL, Richardson School of Law, University of Hawai'i & AIIFL, University of Hong Kong Faculty of Law, Hong Kong, Oct. 18, 2007.

Chair and Commentator, Insolvency Reform in China Panel, IBA Singapore 2007 Conference, Singapore, Oct. 16, 2007.

Speaker, *Bankruptcy and IP Rights: Risks and Opportunities*, Law and Strategy, IP, Innovation and the Small Firm in the Global Environment Panel, Global Innovation Challenges and Opportunities: Sustainability, Services and Underserved Markets Conference, GATIC-USA 2007 Global Advanced Technology Innovation Consortium, University of Hawai'i at Manoa Shidler College of Business, Honolulu, HI, Sept. 28, 2007.

Symposium Organizer and Chair and Commentator, Recent Developments in China and Hong Kong Panels, Asian-Pacific Corporate Insolvency Law: Cross-Border and Comparative Perspectives – Inaugural Symposium, IAPBL, Richardson School of Law, University of Hawai'i, Halekulani Hotel, Honolulu, HI, Apr. 10-11, 2007.

Speaker, *An Analysis of the New Chinese Bankruptcy Law*, Oxford Conference on Regulating Enterprise: The Regulatory Impact on Doing Business in China, Hong Kong, Sept. 20, 2006.

Distributed paper, Douglas W. Arner, Charles D. Booth, Berry F. Hsu, Paul Lejot, Qiao Liu & Frederick Pretorius, *Property Rights, Collateral and Creditor Rights in East Asia*, World Bank/Hong Kong Monetary Authority Conference on East Asian Financial Markets – The Next Frontier, Hong Kong, June 23, 2006; also distributed at 13th Biennial Meeting in Austin, Texas, of the International Academy of Commercial and Consumer Law, Aug. 9-10, 2006.

Speaker, *An Update on Bankruptcy Law Reform in Hong Kong and China: The Race of the Two Tortoises*, Academics Meeting, INSOL Scottsdale 2006, Scottsdale, Arizona, May 21, 2006 (led to the publications in ABA CHINA LAW REPORTER (Nov. 2006) & INSOL ELECTRONIC NEWSLETTER (Jan. 2007)).

Speaker, *Enterprise Reorganisation in Asia: Possible Policy Choices and Approaches*, FAIR 2006 – Legal and Institutional Reforms of Asian Insolvency Systems, Beijing, China, Apr. 27, 2006.

Participant, *Study and Training in Global Comparative and International Insolvency Law*, INSOL Curriculum Development Workshop, INSOL International, London, England, Jan. 11-13, 2006.

Speaker, *The Policies Underlying the October 2004 Draft of the Proposed Chinese Bankruptcy Law*, The Draft Bankruptcy Law and Corporate Restructuring in the PRC, Thomson-Sweet & Maxwell Asia, Hong Kong, June 28, 2005.

Speaker, *Hong Kong Consumer Insolvency Law Reform: The Increasing Use of Payment Plans*, and Participant in a Roundtable with Israeli policy-makers on Reform in Israeli Bankruptcy and Execution of Judgment Laws, Personal Bankruptcy in the 21st Century: Emerging Trends and New Challenges, The Cegla Center for Interdisciplinary Research of the Law, Tel Aviv, Israel, June 6 & 8, 2005.

Speaker, *Security, Insolvency & Property Rights*, Property Rights and Financial Development Panel, Law, Culture and Financial Sector Development: A Colloquium of the London Forum for International Economic Law and Development, Shanghai University of Finance and Economics, Shanghai, China, May 14, 2005.

Speaker, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, Symposium on Risk Management, Informatics Holdings Ltd & Professional Risk Management International Association, Hong Kong, Apr. 1, 2005.

Speaker, *Developing an Advanced Professional Training Course for Insolvency Professionals*, Insolvency Teaching & Research Workshop, University of Southern Queensland, Brisbane, Australia, Mar. 17, 2005.

Co-speaker with Stephen Briscoe, *One Country, Two Systems: Recent Developments in Cross Border Insolvency in Hong Kong and the People's Republic of China*, Academics Meeting, INSOL Sydney 2005, Sydney, Australia, Mar. 12, 2005 (expenses partly covered by a HKU CRCG grant).

Co-Author with Robert McBain & Presenter, *Trends and Developments in Insolvency Systems and Risk Management: The Experience of Hong Kong*, 4th FAIR, OECD, World Bank, and ADB, New Delhi, India, Nov. 4-5, 2004 (published in Credit Risk and Credit Access in Asia (OECD, 2006)).

Panelist, Corporate Insolvency Panel, and Commentator, *Latest Developments in PRC Bankruptcy Law*, The 8th Beijing-Hong Kong Economic Co-operation Symposium – Seminar

on Corporate Restructuring & Liquidation, Beijing-Hong Kong Corporate Restructuring & Liquidation Joint Working Group and HKSA Insolvency Interest Group, Hong Kong, Sept. 3, 2004.

Reporter, Symposium on Building the Financial System of the 21st Century: an Agenda for China & the United States, Harvard Law School Program on International Financial Systems & China Development Research Foundation, Beijing, China, June 11-13, 2004.

Current Status of Insolvency Law Reform Efforts in China, 4th Annual International Insolvency Institute Conference, New York City, June 8, 2004.

A Comparison of Provisional Supervision and Chapter 11 of the US Bankruptcy Code,” Sink or Swim? A Seminar on Corporate Rescue and Individual Voluntary Arrangements in Hong Kong, Ernst & Young, Hong Kong, Dec. 10, 2003.

Commentator, Cross-Border Restructuring: Hong Kong, Mainland China and the Region, AIIFL, University of Hong Faculty of Law & HKSA, Hong Kong, Nov. 22, 2003.

An Overview of the UNCITRAL Model Law on Cross Border Insolvency, The 7th Beijing-Hong Kong Economic Co-operation Symposium – Seminar on Corporate Restructuring, Merger & Acquisition, and Liquidation, Beijing-Hong Kong Restructuring, Merger & Acquisition and Liquidation Joint Working Group and HKSA Insolvency Interest Group, Beijing, China, Oct. 24, 2003.

Corporate Rescue in Hong Kong: Provisional Liquidation, Academics Meeting, INSOL Las Vegas 2003, Las Vegas, NV, Sept. 20, 2003.

Recent Developments in Corporate and Cross-Border Insolvency Law in Hong Kong and the People’s Republic of China,” Committee J Panel, IBA Section on Business Law Conference, San Francisco, CA, Sept. 18, 2003 (posted on the IBA’s website at <http://www.ibanet.org/general/FindDocuments.asp>).

Recent Developments in Corporate and Cross-Border Insolvency Law in Hong Kong, the People’s Republic of China, and Vietnam, Panel on the Asian Recovery: Progress and Pitfalls, World Bank Global Forum on Insolvency Risk Management Standards & Strategies for the Next Decade, Washington, DC, Jan. 28, 2003.

Primary meeting organizer & Co-speaker with Ms. Wendy Chiu, A Comparison of the Draft Bankruptcy Laws of China and Vietnam, Academics Meeting & and Speaker on the Proposed New PRC Bankruptcy Law Panel, Lenders Group Technical Meeting, INSOL China 2002, Beijing, China, Oct. 9, 2002.

Primary symposium organizer & Chair and Commentator on the Chinese and Hong Kong Panels, Symposium on Corporate Rescue in China: Chinese and Comparative Perspectives, AIIFL, University of Hong Faculty of Law, Hong Kong, Oct. 7, 2002.

Bankruptcy Expert, conducted two-day Workshop on the Draft Vietnamese Bankruptcy Law and two-day Workshop for the Drafting Committee on the Vietnamese Bankruptcy Law, Hanoi, Vietnam, June 17-21, 2002.

Foreign Expert (one of four) participating in the International Republican Institute & the Finance and Economic Committee of the China National People’s Congress, International Forum on the Enterprises Bankruptcy and Reorganization Law, Beijing, China, Apr. 22-28, 2002.

Primary symposium organizer & Chair and Commentator, Hong Kong Developments Panel, Recent Developments in Corporate Insolvency Symposium, AIIFL, University of Hong Kong Faculty of Law, Hong Kong, Apr. 17-19, 2002.

Cross-Border and International Insolvencies: The Globalisation of Insolvency Procedures: Hong Kong Law, Sessions of the Insolvency Committee, Inter-Pacific Bar Association (IPBA) 12th Annual Meeting and Conference, Hong Kong, Apr. 6, 2002.

Corporate and Personal Rehabilitation in Hong Kong, Academics Meeting, INSOL International 6th World Congress, London, England, July 18, 2001 (led to publication in Consumer Bankruptcy in a Global Perspective (2003)).

Hong Kong Bankruptcy Law: Does it Work? Recent Developments in Consumer Bankruptcy Panel, Joint Meetings of the Law and Society Association and Research Committee on Sociology of Law, Budapest, Hungary, July 6, 2001 (led to publication in Consumer Bankruptcy in a Global Perspective (2003)).

Rights, Powers and Duties of the Debtor and Creditors in Insolvency Proceedings: Hong Kong Law, Session of the Insolvency Committee, IPBA 11th Annual Meeting and Conference, Tokyo, Japan, Apr. 24, 2001 (revised and published in 2 JOURNAL OF INTERNATIONAL BUSINESS LAW 74 (2002)).

Recent Developments in Hong Kong and Chinese Insolvency Law, Session of the Working Group on Pacific Rim Insolvencies, American Bar Association (ABA) Section of Business Law Spring Meeting, Philadelphia, PA, Mar. 23, 2001.

Speaker, *Hong Kong Developments*, Panel on the Identification of Issues Important to Countries about to Reform Insolvency Law, UNCITRAL/INSOL Global Insolvency Colloquium, Vienna, Austria, Dec. 5, 2000.

Primary symposium organizer & co-speaker with Zhang Xian Chu, *Chinese Bankruptcy Law in an Emerging Market Economy: The Shenzhen Experience*, Panel on Chinese Bankruptcy and Reorganization Law: Legal Responses, & Chair and Commentator, Panel on Chinese Cross-Border Insolvency Issues, Chinese Insolvency Law Symposium: Developing an Insolvency Infrastructure, AIIFL, University of Hong Kong Faculty of Law, Nov. 17-18, 2000 (revised and published as 15 COLUMBIA JOURNAL OF ASIAN LAW 1 (2001)).

Rationalizing the Scope of the Application of Foreign Laws under USBC Section 304: Consideration of In re Treco and In re Grandote, Session of the Working Group on Pacific Rim Insolvencies, ABA Section of Business Law Fall Meeting, Boston, MA, Oct. 19, 2000 (expenses partly covered by a HKU CRCG grant).

An Overview of Insolvency Procedures and Administration, including the Special Issues of Cross-Border Insolvencies: Hong Kong Law,” Session of the Insolvency Committee, IPBA 10th Annual Meeting and Conference, Vancouver, Canada, Apr. 29, 2000 (expenses partly covered by a HKU CRCG grant).

Co-Reporter with Rabindra Nathan, *Summary of Discussions and Proposals for Reform*, ADB Insolvency Law Reforms Symposium, Manila, Philippines, October 26-27, 1999 (published on the ADB’s website (1999)).

Hong Kong Cross-Border Insolvency Law: The Need for Greater Cooperation with Mainland China, Mutual Legal Assistance in Civil and Commercial Matters Panel, Conference on

Judicial Assistance between Mainland China and Hong Kong, University of Hong Kong Faculty of Law, Hong Kong, Sept. 7, 1999.

State Intervention against Fraudulent Companies: Hong Kong Law, Insolvency and Fraud Panel, IBA Section on Business Law Conference, Copenhagen, Denmark, June 14, 1999 (on the IBA's website at <http://www.ibanet.org/general/FindDocuments.asp>; led to the publication of 29 HONG KONG LAW JOURNAL 368 (1999)).

Cross-Border Insolvencies, Comity and Choice of Law, and Insolvencies of Financial Institutions and of Insurance Companies: Hong Kong Law, Session of the Insolvency Committee, IPBA 9th Annual Meeting and Conference, Bangkok, Thailand, May 3, 1999 (led to the publication of 29 HONG KONG LAW JOURNAL 368 (1999)).

Main Presenter, *Update on Insolvency Law Developments in Hong Kong and China*, and General Commentator, Program on Insolvency in the Pacific Rim, ABA Section of Business Law Spring Meeting, San Francisco, CA, Apr. 17, 1999.

Hong Kong Insolvency Law Reform: Preparing for the Next Millennium, Academics Meeting & co-presenter with Arnold Quittner, *Avoidance Powers*, INSOL Pacific '99 Conference, Auckland, New Zealand, Feb. 21 & 23, 1999 (revised and published in Norton's Annual Survey on Bankruptcy (2000) & in JOURNAL OF BUSINESS LAW (2001)).

Local Study of Insolvency Law Regimes: Report on Hong Kong, China, and Discussion Leader, Cultural Concerns Panel, ADB Manila Symposium on Insolvency Law Reform, Manila, Philippines, Jan. 25-26, 1999 (published on the ADB website (1999)).

Speaker, *Insolvency of SOEs in China: An Uncertain Path*, Approaches to Enterprise Reform: Insolvency Panel, Session on Transformation of State-Owned Enterprises, Conference on Law & Development in Asia, co-sponsored by Stanford Law School Transnational Business Law Program, University College London Faculty of Law, the University of Hong Kong Faculty of Law, & the Asia Foundation, University of Hong Kong, Hong Kong Dec. 8, 1998.

Cross-Border Insolvency and Corporate Reorganizations: Hong Kong Law, Panel Discussion on International Insolvency, Session of the Insolvency Committee, IPBA 8th Annual Meeting and Conference, Auckland, New Zealand, May 4, 1998 (expenses partly covered by a HKU CRCG Grant).

Hong Kong Cross-Border Insolvency Law, Session on Cross-Border Insolvency Issues in the Pacific Rim, Committee on Business Bankruptcy Sub-committee on International Bankruptcy, ABA Section of Business Law Spring Meeting, St. Louis, MO, Apr. 4, 1998 (expenses partly covered by a HKU CRCG grant).

Recent Developments in Hong Kong Bankruptcy and Corporate Reorganization Law, Insolvency in the Far East Panel & *Choice of Law Issues in Corporate Transnational Insolvencies: The Hong Kong Perspective*, Choice of Law Panel, Committee J Insolvency and Creditors' Rights, IBA Section on Business Law Conference, New Delhi, India, Nov. 4, 1997 (both papers are on the IBA's website at <http://www.ibanet.org/general/FindDocuments.asp>).

Case Study on Bankrupt Corporations with Assets in Multiple Jurisdictions: Hong Kong Law, Panel Discussion on International Insolvency & *Survey of Bankruptcy and Reorganization Law: Hong Kong Law*, Insolvency Regimes in the Pacific Rim Panel, Session of the Insolvency Committee, IPBA 7th Annual Meeting and Conference, Kuala Lumpur, Malaysia, Apr. 30 & May 1, 1997.

Leaping Forward to 1997: Bankruptcy Law Reform in Hong Kong, Academics Meeting, INSOL International 5th World Congress, New Orleans, LA, USA (Mar. 23, 1997) (revised and published in 6 INTERNATIONAL INSOLVENCY REVIEW 183 (1997)) (covered in part by a HKU Committee on Research and Conference Grants (CRCG) grant).

Cross-Border Insolvency Law Hypothetical: Hong Kong Law, Special Insolvency Presentation Panel, IPBA 6th Annual Meeting and Conference, Manila, Philippines, May 2, 1996.

1997 and Hong Kong Transnational Insolvency Law, Asia Pacific Economic Law Forum Conference, University of Canberra, Canberra, Australia, Feb. 9, 1996 (revised and published as 7 AUSTRALIAN JOURNAL OF CORPORATE LAW 206 (1997)).

Transnational Insolvency Law: 1997 and the Need to Reform Hong Kong's Law, & Teaching Comparative Insolvency Law in Hong Kong, Aspects of Teaching Insolvency Law Panel, Academics Meeting, INSOL Conference Asia Pacific, City University, Hong Kong, Nov. 1, 1995.

Living in Uncertain Times: The Need to Strengthen Hong Kong's Law Relating to Transnational Insolvency, 1995 Friedmann Conference – Hong Kong: Financial Center of Asia, Columbia Society of International Law and Columbia Journal of Transnational Law, Columbia Law School, New York City, Mar. 30, 1995 (revised and published as 34 COLUMBIA JOURNAL OF TRANSNATIONAL LAW 389 (1996)).

Main Speaker, *The Transnational Aspects of Hong Kong Insolvency Law*, International Commercial Insolvency Symposium, Southwestern Journal of Law & Trade in the Americas, Southwestern University School of Law, Los Angeles, CA, Apr. 8, 1994 (revised and published as 2 SOUTHWESTERN JOURNAL OF LAW & TRADE IN THE AMERICAS 1 (1995)).

Recent Developments in Hong Kong Bankruptcy Law Reform, Committee J Insolvency and Creditors' Rights, IBA Section on Business Law Conference, Hong Kong, Oct. 3, 1991 (on the IBA's website (IBA Reading Room) at <http://www.ibanet.org/general/FindDocuments.asp>) and revised and published as 2 INTERNATIONAL INSOLVENCY REVIEW 120 (1993)).

Panel Member, Bankruptcy Panel, Japan-Hawai'i Lawyers' Association, Honolulu, HI, Aug. 14, 1986.

INVITED DELEGATION, CONFERENCE AND SYMPOSIA PARTICIPATION:

Welcoming Address, 17th Annual International Conference, The Law's Evolution and Response to Data-Driven Innovation, Center for Law and Public Utilities, School of Law, Seoul National University & Richardson School of Law, University of Hawai'i at Manoa, Waikiki, HI, Sept. 7, 2018.

Member of planning committee and of delegation organized by the Hawai'i State Bar Association (HSBA) to visit Shanghai and Suzhou, China, from May 9-14, 2015, to foster inbound investment from China into Hawai'i and elsewhere in the United States. The delegation participated in the signing of a Friendship Agreement between the HSBA and the Suzhou Bar Association, presented two seminars, and met with lawyers from several Chinese law firms and with Chinese judicial officials.

Member of HKSA delegation participating in Cross-Border Insolvency Seminar with the Beijing Municipal Foreign Economic & Trade Commission, Hong Kong, Nov. 2002 & Beijing, China, Apr. 12, 2001 (led to the publication of 31 HONG KONG LAW JOURNAL 312 (2001)).

Organisation for Economic Co-operation and Development (OECD), Forum for Asian Insolvency Reform (FAIR), Bali, Indonesia, Feb. 7-8, 2001.

World Bank Insolvency Symposium, Insolvency Systems in Asia: An Efficiency Perspective, Sydney, Australia, Nov. 29-30, 1999 & Building Effective Insolvency Systems, Washington, DC, Sept. 14-15, 1999.

International Law School Deans' Conference on Legal Education for the 21st Century, China University of Politics and Law, Beijing, China, May 25-27, 1999 (expenses partly covered by HKU China grant).

RMA-INSOL Lenders' Group Roundtable Discussion for Senior Bankers, Hong Kong, Oct. 23, 1998.

SELECTED LECTURES, SEMINARS, WORKSHOPS, TALKS AND PANELS:

Speaker, Mini Business Boot Camp focusing on personal finance (mortgages, car loans, credit cards, and FICO scores), for faculty and staff at William S. Richardson School of Law, University of Hawai'i at Manoa, Apr. 15, 2021.

Designed, taught (how to develop financial and business literacy and skillsets and introduction to secured transactions) and moderated classes in the William S. Richardson School of Law, University of Hawai'i at Manoa Inaugural Business Boot Camp, Mar. 15-19, 2021.

Co-lecturer with Ret. Hon. Allan Gropper & William A. Brandt, Jr., *Corporate and Cross-Border Insolvency Law in Japan & China (with a few words on Hong Kong and Singapore)*, International Bankruptcy Course, via Zoom (sponsored by the American College of Bankruptcy), Mar. 16, 2021 (broadcast live to approximately 75 students at 8 law schools: Delaware (Widener University), University of Miami, University of Minnesota, University of Pennsylvania, University of Pittsburgh, St. John's University, University of Tennessee, and Western University (Canada)).

Interviewer of Prof. Jerome Cohen about his distinguished career practicing in Asia, International Business Lawyering in Asia Course, & Harvard Club of Hawai'i, Feb. 11, 2021.

Organizer and Moderator, *Zoom Meet and Greet with 2021 Boas Visiting Harvard Professor Ruth Okediji*, William S. Richardson School of Law, University of Hawai'i at Manoa & Harvard Club of Hawai'i, Jan. 14, 2021.

Lecturer, *Corporate Insolvency in China and Asia: Developing Effective Insolvency Infrastructures*, ASAN 600C - Chinese Studies Course: Scopes and Methods, University of Hawai'i at Manoa, via Zoom, Oct. 2, 2020.

Co-lecturer with Ret. Hon. Allan Gropper & William A. Brandt, Jr., *Corporate and Cross-Border Insolvency Law in Japan & China (with a few words on Hong Kong and Singapore)*, International Bankruptcy Course, via Zoom (sponsored by the American College of Bankruptcy), Apr. 14, 2020 (broadcast live to approximately 75 students at 8 law schools: Georgetown, IIT-Chicago Kent, University of Illinois, University of Miami, University of Minnesota, University of Pennsylvania, St. John's University, and University of Tennessee).

Speaker, *Extradition, the Business Community, and the Flow of Capital*, The Crisis in Hong Kong: What's at Stake? PALS Program, Center for Chinese Studies, Matsunaga Institute for Peace and Conflict Resolution, & IAPBL, William S. Richardson School of Law, University of Hawai'i at Manoa, Sept. 18, 2019.

Lecturer, *Corporate and Cross-Border Insolvency Law in Japan & China (with a few words on Hong Kong and Singapore)* (Ret. Hon. Allan Gropper served as commentator discussing), International Bankruptcy Course, St. John's School of Law (sponsored by the American College of Bankruptcy), New York, Feb. 19, 2019 (broadcast live to 9 additional law schools at Delaware Law School, Georgetown, IIT-Chicago Kent, ITAM (Mexico City), University of Illinois, University of Minnesota, University of Pennsylvania, University of Tennessee, and University of Utah).

Speaker, *The Ongoing Development of (Effective) Insolvency Infrastructures in Asia & The Battle to Become Asia's Regional Hub for Corporate Restructuring*, Faculty Outreach Committee, William S. Richardson School of Law, University of Hawai'i at Manoa, Jan. 30, 2019.

Speaker, *Hong Kong v. Singapore: The Battle to Become Asia's Regional Hub for Commercial Dispute Resolution and Restructuring*, China Seminar, Friends of the East-West Center, Honolulu, HI, Dec. 13, 2018.

Lecturer, *Chinese Law - Historical and Comparative Asian Insolvency Law*, Comparative Law Course, Richardson School of Law, University of Hawai'i at Manoa, Sept. 12 and Nov. 14, 2018.

Moderator, Emerging Issues and Trending Topics in the Insolvency Regimes of the Asia-Pacific Region Panel, International Monetary Fund (IMF) – Singapore Regional Training Institute (STI), Workshop on Corporate Insolvency, Singapore, Aug. 1, 2018.

Lunch Dialogue with Professor Jerome Cohen, *Asian Bankruptcy Law*, U.S.-Asia Law Institute, NYU Law School, Apr. 30, 2018.

Co-speaker with Judge Robert Faris, *Careers in the Law: Bankruptcy Lawyers – The Last Great Generalists*, American College of Bankruptcy & IAPBL, William S. Richardson School of Law, University of Hawai'i at Manoa, Apr. 18, 2018.

Moderator, *Careers in International Business Law*, interviewing Richard “Dick” Mosher, Alston Hunt Floyd & Ing, Honolulu, Asia Law Talk Series, Pacific-Asian Legal Studies (PALS) and the Pacific-Asian Legal Studies Organization (PALSO), William S. Richardson School of Law, University of Hawai'i at Manoa, Apr. 10, 2018.

Speaker, *Confidence and Joy in Bankruptcy Law*, East-West Center's Wednesday Evening Seminar Series: “Life Guides in Asia and the Pacific.” The topic was “Confidence and Joy in Business and Law,” Apr. 4, 2018.

Lecturer, *Corporate and Cross-Border Insolvency Law in Japan & China (with a few words on Hong Kong and Singapore)* (Ret. Hon. Allan Gropper and Bill Brandt, Jr. served as commentators discussing the insolvency of the China Fishery Group), International Bankruptcy Course, St. John's School of Law (sponsored by the American College of Bankruptcy), New York, Feb. 13, 2018 (broadcast live to 8 additional law schools at IIT-Chicago Kent, Georgetown, Southern University Law Center (Baton Rouge), University of Michigan, University of Minnesota, University of Pennsylvania, University of Utah, and Western Law (Ontario, Canada)).

Roundtable Speaker (with Clement Leung, Hong Kong Commissioner to the United States, & Prof. Carole Petersen), Hong Kong's Future, (spoke on economic and commercial law issues, corruption, the judiciary, the development of the legal profession and competition with

Singapore) Winston Lord Roundtable Series on Asia, the Rule of Law, and U.S. Foreign Policy, Council on Foreign Relations, NYC, NY, Dec. 12, 2017.

Speaker, *Corporate Insolvency in Asia: Developing Effective Insolvency Infrastructures and Cross-Border Cooperation Mechanisms*, 21st Asia-Pacific Forum, Asia-Pacific Law Institute, Seoul National University School of Law, Seoul, Korea, Sept. 22, 2017.

Lecturer, *Corporate Insolvency in Japan & China: Developing Effective Insolvency Infrastructures* (Ret. Hon. Allan Gropper served as commentator), International Insolvency Course, St. John's School of Law (sponsored by the American College of Bankruptcy), New York, Feb. 7, 2017 (broadcast live to 6 additional law schools at IIT-Chicago Kent, Georgetown, ITAM (Mexico City), Southern University Law Center (Baton Rouge), University of Minnesota, University of Pennsylvania, and University of Utah).

Lunch Dialogue with Professor Jerome Cohen, *Bankruptcy to the Rescue: How to Survive and Thrive in Asian Downturns*, U.S.-Asia Law Institute, NYU Law School, Feb. 6, 2017.

Lecturer, Asian Insolvency Law, Comparative Corporate Bankruptcy Law Course, Faculty of Law, Humboldt University, Berlin, Germany, Dec. 13, 2016.

Lecturer, *Corporate Insolvency in Asia: Developing Effective Insolvency Infrastructures*, ASAN 600C - Chinese Studies Course: Scopes and Methods, University of Hawai'i at Manoa, Nov. 9, 2016.

Policy Briefing, *Chinese and Asian Insolvency Law and Debt Enforcement*, Standard & Poor's, Melbourne, Australia, Oct. 27, 2016.

Co-Speaker with Sabine Stricker-Kellerer, *Foreign Investment in China: From Starting Up to Winding Up*, Harvard Law School East Asian Legal Studies Program, Harvard Fairbank Center & Harvard Asia Law Society, Harvard Law School, Oct. 4, 2016.

Speaker, *Corporate Insolvency in China: Developing Effective Insolvency Infrastructures and Cross-Border Cooperation Mechanisms*, Sheppard Mullin, Beijing, China, Sept. 6, 2016.

Lecturer, *Corporate Insolvency in China, Japan & Hong Kong: Developing Effective Insolvency Infrastructures*, Beijing Lawyers Association Training Program, Beijing Foreign Studies University, Beijing China, Sept. 4, 2016.

Lecturer, *Corporate Insolvency in Japan & China: Developing Effective Insolvency Infrastructures* (Ret. Hon. Allan Gropper served as commentator), International Insolvency Course, St. John's School of Law (sponsored by the American College of Bankruptcy), New York, Feb. 9, 2016 (broadcast live to 9 additional law schools at IIT-Chicago Kent, Georgetown, ITAM Mexico City, University of Illinois (Champagne), University of Illinois (Chicago), University of Illinois (Urbana), University of Michigan, University of Pennsylvania, and University of Utah).

Panelist, *Careers in the Law: Bankruptcy Lawyers – The Last Great Generalists*, American College of Bankruptcy, William S. Richardson School of Law, University of Hawai'i at Manoa, Nov. 17, 2015.

Panelist, *Litigation Funding – how it works and how it could work for insolvency cases in Hong Kong*, Hong Kong Institute of Certified Public Accountants (HKICPA), Hong Kong, Oct. 28, 2015.

Co-Speaker with Ed Tillinghast, *Successful Strategies in US Bankruptcy Cases: Serving on Creditors' Committees and Acquiring Assets*, School of Law at Beijing Foreign Studies University, Sheppard Mullin Richter & Hampton LLP & the All China Lawyers Association, Beijing, China, Oct. 22, 2015.

Speaker, *An Overview of Chinese Insolvency Law*, Harneys Law Firm, Hong Kong, Oct. 26, 2015.

Lecturer, *Corporate Governance Practices in Asia – China*, Accounting 690, International Corporate Governance, Shidler College of Business, University of Hawai'i at Manoa, Oct. 8, 2015.

Speaker, *Individual and Corporate Investment in the USA* Seminar, Hawai'i State Bar Association (HSBA) & Suzhou Bar Association, Suzhou, China, May 13, 2015.

Chair, *Individual Investment in the USA* Seminar, HSBA Delegation Presentation, Huangpu Judicial District Bureau, Shanghai, China, May 12, 2015.

Lecturer, *Corporate Insolvency in Asia: Developing Effective Insolvency Infrastructures in China and Japan* (Hon. Allan Gropper served as commentator), International Insolvency Course, St. John's School of Law (sponsored by the American College of Bankruptcy), New York, Feb. 10, 2015 (broadcast live to 6 additional law schools at IIT-Chicago Kent, Georgetown, University of Illinois (Champagne), University of Illinois (Chicago), University of Pennsylvania, and University of Utah).

Panelist, *Careers in the Law: Bankruptcy Lawyers – The Last Great Generalists*, American College of Bankruptcy, William S. Richardson School of Law, University of Hawai'i at Manoa, Nov. 18, 2014.

Lecturer, *Corporate Governance Practices in Asia – China & Japan*, Accounting 690, International Corporate Governance, Shidler College of Business, University of Hawai'i, Oct. 30, 2014.

Speaker, *Where Have All the Cases Gone? The Need to Develop Effective Insolvency Infrastructures in China*, Asian Development Bank, Beijing, China, Oct. 16, 2014.

Lecturer, *Corporate Insolvency in Asia: Developing Effective Insolvency Infrastructures in China, Japan & Hong*, China-EU School of Law at the China University of Politics and Law, Beijing, China, Oct. 15, 2014.

Co-Speaker with Sarah Bower, Chief Legal Officer, KPMG, *Cross-Border Recognition: Protocols v the UNCITRAL Model Law*, IWIRC HK, Hong Kong, Oct. 6, 2014.

Co-Speaker with Prof. Wang Wei Guo of the China University of Politics and Law, *China's Insolvency Law: A History and Review of Current Developments*, AmCham China, Beijing, China, Apr. 1, 2014.

Lecturer, *Corporate Insolvency in Asia: Developing Effective Insolvency Infrastructures in China and Japan* (Hon. Allan Gropper served as commentator), International Insolvency Course, St. John's School of Law (sponsored by the American College of Bankruptcy), New York, Feb. 11, 2014 (broadcast live to 7 additional law schools at Chicago Kent, Georgetown, University of Illinois (Champagne), University of Illinois (Chicago), the University of Pennsylvania, Utah, and Universidad Iberoamericana in Mexico City).

Speaker, *Insolvency and Commercial Laws: A Look at China and the Rest of Asia*, Ateneo de

Manila University School of Business, Manila, Philippines, Dec. 9, 2013 & Law Forum, University of the Philippines Law Center Institute of Government and Law Reform & Ateneo de Manila University Ricardo Leong Center for Chinese Studies, Manila, Philippines, Dec. 6, 2013.

Lecturer, *Bankruptcy Laws in a Socialist Market Economy: Recent Developments in Mainland China*, The Ateneo Ricardo Leong Center for Chinese Studies and the Ateneo Law School Chief Justice Claudio Teehankee Center for the Rule of Law, Manila, Philippines, Dec. 6, 2013.

Lunch talk with Carole Petersen, *Making Careers in Asian Law – A Conversation with Professor Jerome Cohen*, U.S.-Asia Law Institute, NYU Law School, Nov. 26, 2013 (<http://usali.org/activity/professors-charles-booth-and-carole-peterson-of-the-university-of-hawaii-speak-at-usali/>).

Lunch Speaker, *Responding to the Asian Financial Crisis: Developing Insolvency Infrastructures in the Region*, Yale Club of Hong Kong, July 10, 2013.

Lecturer, *An Overview of Corporate Reorganization in the United States*, Meijo University, Nagoya, Japan, June 29, 2013.

Lecturer, *Corporate Insolvency in Asia: Developing Effective Insolvency Infrastructures in China, Japan & Hong Kong*, Meijo University, Nagoya, Japan, June 30, 2013.

Lecturer, *Corporate Insolvency in Asia: Developing Effective Insolvency Infrastructures in Japan & Hong Kong*, China University of Politics and Law, Beijing, China, Apr. 24, 2013.

Lecturer, *Corporate Insolvency in Asia: Developing Effective Insolvency Infrastructures in China, Japan & Hong Kong*, Beijing Foreign Studies University, Beijing, China, Apr. 22, 2013.

Panelist, speaking on Chinese insolvency law, *Restructuring, Turnarounds and Transformation – New Management Approaches*, AmCham China, Beijing, Apr. 16, 2013.

Lecturer, *Responding to the Asian Financial Crisis: Developing Effective Insolvency Infrastructures in Hong Kong and Japan*, Department of International Economic Law, Law School, Shanghai Institute of Foreign Trade, Shanghai, China, Mar. 13, 2013 & Sichuan University, Chengdu, Sichuan, China, Mar. 8, 2013.

Lecturer, *Corporate Insolvency in Asia: Developing Effective Insolvency Infrastructures in China and Japan* (Hon. Allan Gropper served as commentator), International Insolvency Course, St. John's School of Law (sponsored by the American College of Bankruptcy), New York, Feb. 13, 2013 (broadcast live to 8 additional law schools at Chicago Kent, Georgetown, University of Illinois (Champagne), University of Illinois (Chicago), Miami, Michigan, Utah, and Universidad Iberoamericana in Mexico City).

Speaker, *The Development of Bankruptcy Law and Insolvency Infrastructures in China*, Update on Bankruptcy Law and Restructuring Environment in China, Association of Insolvency and Restructuring Advisor (AIRA), Los Angeles, CA, Dec. 4, 2012.

Co-Speaker with Carole J. Petersen, *Hong Kong Since 1997: Resilience During 15 Years of Extraordinary Change*, 23rd Language and Culture Seminar, The Center for Asia-Pacific Exchange, Honolulu, HI, Nov. 10, 2012.

Co-Presenter, *Evaluation of the EBRD Legal Transition Programme 2001-2010 – Final Report*, European Bank for Reconstruction and Development, London, England, Nov. 1, 2012.

Co-Speaker with Rupert Purser, ATTA (Asian Transformation and Turnaround Association) Hong Kong Chapter dinner on the topic: *Is the new Provisional Supervision legislation likely to be enacted in Hong Kong and, if so, is it likely to make any difference (for and against)?*, Hong Kong, Sept. 5, 2012.

Speaker, ICC Luncheon, on *Responding to the Financial Crises: The Development of Insolvency Infrastructures in China, Japan and Hong Kong*, International Chamber of Commerce, Hong Kong, Sept. 4, 2012.

Speaker, *Responding to the 1997 Asian Financial Crisis: The Development of Insolvency Infrastructures in China, Japan and Hong Kong*, Centre for Corporate Law and Securities Regulation and the Asian Law Centre, Melbourne Law School, Australia, Aug. 13, 2012.

Lunch Speaker, *Responding to the 1997 Asian Financial Crisis: The Development of Insolvency Infrastructures in China, Japan and Hong Kong*, China Seminar, Friends of East-West Center, Honolulu, HI, Mar. 8, 2012.

Lecturer, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures in Japan & China*, co-teacher with Hon. Allan Gropper, International Bankruptcy Course, Columbia Law School (sponsored by the American College of Bankruptcy), Feb. 9, 2012 (broadcast live to 12 additional law schools at Arizona, Boston College, Chicago Kent, Georgetown, University of Illinois (Chicago), Miami, Michigan, St. John's, USC, Tulane, Utah and Universidad Iberoamericana in Mexico City).

Lecturer, *Corporate Governance Practices in Asia – China*, Accounting 690, International Corporate Governance, Shidler College of Business, University of Hawai'i at Manoa, June 20, 2011.

Panelist, *Attracting the Middle Kingdom: Hawai'i Needs to Increase Tourism and Investment from China*, China to Hawai'i Panel, Reciprocal Investments: Hawai'i to China and China to Hawai'i Seminar, ThinkTech Hawai'i and Hawai'i Venture Capital Assoc., Honolulu, HI, Apr. 28, 2011, rebroadcast on the ThinkTech radio program and on TV by 'Olelo Community Station 54, Hawai'i.

Speaker, *Corporate Insolvency in Asia: Developing Effective Infrastructures in China, Hong Kong and Japan*, East Asian Legal Studies Talk, Harvard Law School, Apr. 15, 2011.

Corporate Insolvency in Asia: Developing Effective Insolvency Infrastructures in China and Japan, co-teacher with Hon. Allan Gropper, International Insolvency Course, American College of Bankruptcy & Columbia Law School, Feb. 10, 2011 (broadcast live to Boston College, Georgetown, Tulane and Utah Law Schools).

Lecturer, *Corporate Governance Practices in Asia – with a Focus on China*, Accounting 690, International Corporate Governance, Shidler College of Business, University of Hawai'i at Manoa, June 2, 2010.

Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures, International Bankruptcy Course, American College of Bankruptcy & Columbia Law School, Mar. 11, 2010.

The International Aspects of Chinese Bankruptcy Law and Reform, Professor Jerome Cohen's Chinese Attitudes Toward International Law Seminar, NYU Law School, Mar. 8, 2010).

Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures, International Bankruptcy Course, American College of Bankruptcy & NYU Law School, Feb. 26, 2009.
Resolving Cross-Border Insolvency Disputes in China, Professor Jerome Cohen's Settling International Business Disputes with China Course, NYU Law School, Feb. 23, 2009.

Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures, International Bankruptcy Course, American College of Bankruptcy & NYU Law School, NYU, Apr. 3, 2008.

Corporate Rescue in China: Developing Effective Insolvency Infrastructures, Center for Chinese Studies, University of Hawai'i at Manoa, Mar. 4, 2008.

The Development of Insolvency Infrastructures in China, Jiao Tong University, Shanghai, China, Oct. 24, 2007.

Corporate Rescue in Hong Kong, the PRC and the United States: A Comparative Perspective, Shantou University, Shantou, China, June 6, 2007.

Resolving Cross-Border Insolvency Disputes in China, Professor Jerome Cohen's Settling International Business Disputes with China Course, NYU Law School, Mar. 21, 2007.

Speaker, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, East Asian Legal Studies Talk, Harvard Law School, Oct. 18, 2006.

Lecturer, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, Course ASAN 600C, University of Hawai'i at Manoa, Sept. 22, 2006.

Lecturer, *The 2004 Draft of the New Chinese Bankruptcy Law*, PRC Security and Insolvency Law Course, LLM Programme, University of Hong Kong, Apr. 25, 2006.

Speaker, *Corporate Rescue in Hong Kong, the PRC, and the United States: A Comparison*, Hong Kong Bankers' Association, Aug. 25, 2005.

Speaker, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, Duke University School of Law-HKU Law Faculty Institute in Transnational Law, Hong Kong, July 7, 2005.

Speaker, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, Arison School of Business, IDC Herzliya, Israel, June 9, 2005.

Speaker, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, Shanghai University of Finance and Economics, Shanghai, China, May 16, 2005 & Jiao Tong University, Shanghai, China, May 18, 2005.

Lecturer, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures in the Aftermath of the Asian Financial Crisis*, The Ross Parsons Centre of Commercial, Corporate and Taxation Law, School of Law, University of Sydney, Australia, Mar. 10, 2005.

Speaker, *An Overview of Insolvency Law Developments in Hong Kong: 1989 to the Present*, Lunch Seminar, Pacific Asian Legal Studies Organization, Richardson School of Law, University of Hawai'i. Apr. 8, 2004.

Lecturer, *A Comparison of Chapter 11 and Provisional Supervision and an Introduction to US Cross-Border Insolvency Law*, Corporate Restructuring and Insolvency Class, The Chinese University of Hong Kong School of Accountancy, Mar. 10, 2004.

Avoidance Powers in Hong Kong Insolvencies: A Real Threat or Paper Tigers? The Society of Chinese Accountants and Auditors, Hong Kong, Mar. 3, 2004.

Corporate Rescue in Hong Kong: A Comparison of Provisional Supervision and Chapter 11 of the US Bankruptcy Code, Insolvency Interest Group, Hong Kong, Feb. 18, 2004.

Lecturer, *The Risk of Dealing with State-Owned Enterprises: Insolvency in China*, International Business Transactions with China and East Asia Course, NYU Law School, Feb. 5, 2003.

Lecturer, *Developing an Effective Insolvency Infrastructure in Asia*, International Insolvency Course, Fordham Law School, NY, Feb. 3, 2003.

Lecturer, *Insolvency Law Developments in China and East Asia*, The London Forum for International Economic Law and Development, Centre for Commercial Law Studies, Queen Mary, University of London, UK, June 5, 2002.

Lecturer, *Implications of the US Adoption of the UNCITRAL Model Law on Cross-Border Insolvency*, Korean Insolvency Law Study Group, Seoul, Korea, May 10, 2002.

Speaker, *US Bankruptcy and Reorganization Law: Structural Bargaining Perspectives – An Asian Perspective*, Korean Development Institute Corporate Affairs Staff Seminar, Seoul, Korea, May 10, 2002.

Moderator and Commentator, Securitisation Panel, *Securitisation in Asia: Developments and Outlook* AIFL, University of Hong Kong Faculty of Law & the Duke University Global Capital Markets Center, Hong Kong, Mar. 13, 2002.

Lecturer, *Informal Out-of-Court Restructuring in East and Southeast Asia – with Special Reference to State-Owned Enterprises in China*, Seminar on Law & Development in the Third World, University of Wisconsin Law School, Madison, WI, Nov. 13, 2001.

Speaker, *International Bankruptcy: Hong Kong and PRC Law*, Japanese Institute of International Business Law, Tokyo, Japan, Apr. 27, 2001.

Speaker, *Responding to the Asian Financial Crisis: The Need to Build Effective Insolvency Systems*, Harvard Asian Law Society, Harvard Law School, Cambridge, MA, Oct. 17, 2000.

Speaker, *Corporate Rescue in Hong Kong*, Tokyo Bar Association, Tokyo, Japan, May 29, 2000.

Speaker, *International and Cross-Border Insolvency Issues for Japanese Companies: Hong Kong and PRC Perspectives*, Japanese Institute of International Business Law, June 2, 2000 & University of Tokyo, Japan, June 1, 2000.

Co-Speaker with Philip Smart, *Provisional Supervision and the Companies (Amendment) Bill 2000*, Insolvency Interest Group, Hong Kong, Mar. 27, 2000.

Speaker, *Recent Developments in Hong Kong Insolvency Law*, Hawai'i Bar Association Bankruptcy Round Table, Honolulu, HI, Dec. 14, 1999.

Co-Speaker with Philip Smart, *Retroactivity and Insolvency Law: Beyond 'Setaffa'*, Insolvency Interest Group, Hong Kong, June 29, 1999.

Lecturer, *Hong Kong Cross-Border Insolvency Law*, China University of Politics and Law, Beijing, China, May 24, 1999.

Speaker, *Recent Developments in Hong Kong Insolvency Law*, Faculty Lunch, Santa Clara University School of Law, CA, Apr. 14, 1999.

Co-Speaker with Arnold Quittner, *Extraterritoriality and US Cross-Border Insolvency: Issues for Hong Kong Liquidators and Creditors*, Insolvency Interest Group, Hong Kong, Mar. 12, 1999.

Organizer and Moderator of Roundtable on the Role of the Judiciary, featuring Justice Anthony M. Kennedy of the US Supreme Court, Faculty of Law, University of Hong Kong, Hong Kong, Feb. 4, 1999.

Boardroom Briefing, *Recent Developments in Hong Kong Insolvency Law*, Dibbs Crowther & Osborne, Sydney, Australia. Feb. 15, 1999.

Speaker, *Developing a Research and Publication Strategy*, 'Thinking about Research' Seminar, Dept. of Professional Legal Education Staff Seminar, University of Hong Kong, Oct. 27, 1998.

Speaker, *Bankruptcy Law Reform: The Bankruptcy (Amendment) Ordinance 1996*, Insolvency Interest Group, Hong Kong, Feb. 9, 1998.

Speaker, *From 1897 to 1997: Hong Kong Bankruptcy Law Reform*, Staff Seminar, Faculty of Law, University of Hong Kong, Apr. 17, 1997.

Speaker, *Hong Kong & 1997: The Perils of Foreign Investment*, Tennessee Wesleyan College, Mar. 21, 1997.

Speaker, *Recent Developments in Hong Kong Transnational Insolvency Law*, Staff Seminar, Faculty of Law, University of Hong Kong, Jan. 16, 1996.

Lecturer, *The Effect of 1997 on Hong Kong Transnational Insolvency Law*, Faculty Lunch, Nov. 15, 1995 & *Hong Kong and 1997: The Impact of the Transition to Chinese Rule*, Duke International Law Society, Duke University School of Law, NC, Nov. 13, 1995.

Lecturer, *Fostering Co-operation between the United States and Hong Kong in Transnational Insolvencies*, Official Receiver's Office, Hong Kong, Mar. 18, 1994.

Co-Lecturer with Carole J. Petersen, *Looking Towards 1997: Perspectives on the Current and Future Legal Status of Hong Kong*, Cardozo Asian Law Students Association and Cardozo International Law Society, Cardozo School of Law, NY, Dec. 2, 1992.

Lecturer, *Hong Kong Insolvency Law and/or Cross-Border Insolvency Law*, in the annual Santa Clara University School of Law Summer Law Study Program, University of Hong Kong, 1990-2007.

Lecturer, *The Rise and Fall of Drexel*, Staff Seminar, Faculty of Law, University of Hong Kong, Apr. 12, 1990.

Lecturer, *Legal Aspects of US Insolvency Law*, The Japanese Institute of International Business Law, Inc., Tokyo, Japan, Dec. 19, 1989.

Lecturer, *An Introduction to Chapter 13 of the US Bankruptcy Code*, Faculty of Law, Hiroshima University, Hiroshima, Japan, May 26, 1988.

Lecturer, *Architecture, Law, and Visions of Man: Teaching Jurisprudence through Architecture Slides*, Architecture Course 192 & Jurisprudence Class, Richardson School of Law, University of Hawai'i, Mar. 1, 1988.

Lecturer, *Some Aspects of Chapter 11 of the US Bankruptcy Code*, Faculty of Law, University of Hong Kong, July 10, 1987 & Nihon University, Tokyo, Japan, June 24, 1987.

Lecturer, *American Legal Practice*, Faculty of Law, Hosei University, Tokyo, Japan, June 20, 1987.

Lecturer, *Recent Cases under Chapter 11 of the United States Bankruptcy Code*, Osaka Lawyers' Group, Osaka, Japan, June 10, 1987.

Organizer and Moderator of Panel, *Styles of Practice: Wall Street, Washington, and Silicon Valley*, Richardson School of Law, University of Hawai'i, Mar. 2, 1987.

SELECTED CLE AND PROFESSIONAL COURSE LECTURES AND SEMINARS:

Lecturer, *Japan & China*, INSOL Global Insolvency Practice Course, Sydney, Australia, Mar. 17, 2017; NYC, NY, Apr. 27, 2018; Singapore, Mar. 31, 2019; Via Zoom, June 16, 2021.

Lecturer, *Japan & China (including Hong Kong): Introduction to the Tools Available for Corporate Rescue*, INSOL Global Insolvency Practice Course, Dubai, UAE, Jan. 22, 2016.

Speaker, *Developing Effective Reorganizations in China and Japan*, Hot Topics in Chapter 11 Practice, The Bay Area Bankruptcy Forum, San Francisco, CA, Mar. 18, 2015.

Lecturer, *Latest Developments in the BRICs Countries: China*, INSOL Global Insolvency Practice Course; NYC, NY, Sept. 17, 2013; San Francisco, CA, Mar. 20, 2015.

Speaker, *Responding to The European Financial Crisis: Lessons from Asia – The Need to Develop Insolvency Infrastructures*, SNR Denton, London, England, Oct. 30, 2012.

Lecturer and workshop speaker on Hong Kong insolvency topics, including corporate rescue, cross-border insolvency, provisional liquidation, and bankruptcy, HKICPA & HKSA Professional Diploma in Insolvency Course, Hong Kong, 2001-02, 2002-03, 2003-04, 2011-12, 2012-13, 2013-14, 2015-16, & 2017-18.

Speaker, *The Restructuring of State-Owned Enterprises*, PRC Bankruptcy Laws and Procedures CLE Session, Courses and Seminars Ltd., Hong Kong, Jan. 23, 2002.

Co-speaker with Arnold M. Quittner, *Cross-Border Insolvency Issues: US and Hong Kong Law*, Advanced CLE Seminar, The Law Society of Hong Kong, June 27, 2001, May 24, 2000, Oct. 21, 1999, Nov. 2 & Mar. 13, 1998, Nov. 10 & May 5, 1997, & Oct. 7, 1996.

Speaker, *Workouts, Standstills, and Provisional Supervision*, Current Insolvency Issues in Hong Kong, HKU/Space MindTheme, May 10, 2001.

Lecturer, *Transnational Insolvency Law: An Analysis of a United States Court's Refusal to Recognize Australian Insolvency Proceedings*, Law Society of Hong Kong and Queensland Law Society, CLE Conference, Hong Kong, Mar. 19, 1991.

Seminar Faculty Member, Hawai'i Secured Transactions Seminar, CLE Seminar, Professional Education Systems, Honolulu, HI, Mar. 18, 1987.

PHD EXAMINATIONS AND EXTERNAL SUPERVISORY AND ACADEMIC ADVISORY POSITIONS:

Member, SJD Dissertation Committee for Abdullah Jamaan Alghamdi, *Legal Protection of Employees in a Transition Economy: A Case Study of Saudi Arabia*, William S. Richardson School of Law, University of Hawai'i at Manoa, Fall 2021.

Member, SJD Dissertation Committee for Nabeel Alyahyawi, *Legal Barriers for International Investment in the Saudi Securities Exchange Market*, William S. Richardson School of Law, University of Hawai'i at Manoa, Spring 2021.

Member, PhD Dissertation Committee for Kristan Skylar Powell, *International Diversification and Professional Service Firms*, Shidler College of Business, University of Hawai'i at Manoa, June 2010-11.

Examiner for Jason Corbett's SJD thesis, *Twelve Years to Sharpen One Sword, but Can It Cut? Does China's New Bankruptcy Law Provide Adequate Protection for Foreign Creditors?*, Law School, La Trobe University, May 2010.

Member, PhD Dissertation Committee for Joshua Cieslewicz, *Culture and Accounting: Ramifications for Fraud Prevention, the Development of International Accounting & Reporting*, Shidler College of Business, University of Hawai'i at Manoa, Sept. 2009-June 2010.

LLM paper supervisor, Yoon Joo Chung, *A Case for Reform: Replacing the Corporate Rescue Processes in Singapore with a Chapter 11 Rehabilitative Regime*, Harvard Law School, 2006-07.

Third-year paper supervisor and examiner, Elliot Adler, *Reorganization Provisions of China's Draft Bankruptcy Law*, Harvard Law School, 2005-06.

PhD Co-Supervisor, Yue Yueting, *Foreclosure of Mortgaged Collateral Amid PRC Bankruptcy Proceedings*, Faculty of Law, University of Hong Kong, 2005-06.

Associate External Academic Advisor, City University of Hong Kong, for Company Law I & II (LLB & JD) & Commercial Law (LLB & JD), Nov. 1, 2004-Sept. 30, 2012 & Sales & Sales Law Finance in Hong Kong (LLB), Nov. 1, 2004-Sept. 30, 2008.

External Examiner for Judith Wade's PhD thesis, *The Interrelationship of Private International Law Principles and International Corporate Insolvency*, Faculty of Law, University of Melbourne, Mar. 2003.

Second Examiner for Davy Wu's PhD thesis, *Provisional Supervision: An Analysis of the Proposed Corporate Rescue Procedures of Hong Kong*, Faculty of Law, University of Hong Kong, Nov. 2002.

EDITORIAL AND JOURNAL ACTIVITIES:

Member, Editorial Board, Brill's Asian Law Series, May 24, 2013.

Member, Editorial Board Committee, INSOL International Electronic Newsletter (Apr. 2006-Mar. 2008).

Member, Editorial Board, International Insolvency Review (Jan. 2005-Present).

Member, Editorial Committee, HONG KONG LAW JOURNAL (Jan. 1994-Dec. 2003; Co-editor, Comment and Analysis Sections, Jan. 1994-Dec. 1997; Articles Co-editor, Mar. 2000-Dec. 2003).

Hong Kong Correspondent, RECEIVERS, ADMINISTRATORS & LIQUIDATORS QUARTERLY (1994).

PROFESSIONAL ACTIVITIES:

INTERNATIONAL:

Inaugural member, Regional Committee Asia, International Insolvency Institute (Sept. 2017-July 2019).

Moot Court Judge, INSOL-III Inaugural and 2nd Ian Fletcher International Insolvency Law Moot, Sydney, Australia (Mar. 16, 2017) & Vancouver, Canada (Feb. 6-7, 2018).

Member, American College of Bankruptcy International Committee, SIFI Resolution Working Group (Apr. 2016-Present).

Co-Chair, Asia-Pacific Committee, International Insolvency Institute (Nov. 2015-Aug. 2017).

Member, Tokyo Program Committee, 16th Annual International Insolvency Institute Conference, June 7-8, 2016, Tokyo, Japan (June 2015-June 2016).

Co-Chair, International Bankruptcy Sub-Committee (ABA Section of Business Law, Business Bankruptcy Committee) (Jan. 2012-Dec. 2014).

Member, Panel of Judges, First, Second, Third, Fourth & Fifth Annual Asian Transformation and Turnaround Association (ATTA) Awards, 2011-2015.

Member, INSOL Technical Research Committee (Sept. 2009-Aug. 2011).

Member INSOL International Global Insolvency Practice Course Advisory Committee (Feb. 19, 2009- 2011).

Member, INSOL Fellowship Funding Allocation Panel (July 2008-2014).

Chair, Asia-Pacific Insolvency Working Group (ABA Section of Business Law, Business Bankruptcy Committee) (Apr. 2008-2011).

Member, INSOL International Academics' Steering Committee (Feb. 2007-Present).

Member, ABA Section of International Law, China Committee Working Group (Aug. 2007-2017).

Chair, ABA Section of Business Law, Business Bankruptcy Committee Working Group on Pacific Rim Insolvencies (Aug. 2006-Mar. 2008) (Member, Apr. 1998-Present; Vice Chair, June 1999-Aug. 2006).

Member, Working Group on the Development of the INSOL Diploma in Global Comparative

and International Insolvency, INSOL International (2006) (the world's first Diploma in Global Comparative and International Insolvency).

Member, Turnaround Management Association (TMA) Steering Committee for the planned Hong Kong Chapter (July-Dec. 2005).

Member of Organizing Committee for INSOL China 2002 & Co-ordinator for Academics Meeting Oct. 2002); Academic Co-ordinator for Asia, Academics Meeting, INSOL International 5th World Congress, New Orleans, LA, USA (Mar. 1997).

Vice-Chair, Insolvency Committee, Inter-Pacific Bar Association (2002-2003).

Hong Kong Representative, IBA Committee J Subcommittee on Bankruptcy Treaties (1991).

CHINA:

Member, Experts Advisory Committee (EAC) of the International Forum on Insolvency, Restructuring and Distress M & A (IFIRD), established in Shenzhen by the "Lanhai Institute," a non-profit organization (May 2020-Present).

HONG KONG:

Member, Public Affairs Forum, Hong Kong Affairs Bureau online consultative forum (Nov. 8, 2011-Aug. 31, 2018).

Vice Chairman, AIIFL Academic Advisory Board (Aug. 1, 2007 to present) (Member, Mar. 1, 2006-Present).

Member, Deposit Protection Appeals Tribunal Panel (Jan. 14, 2005-Jan. 13, 2011).

Member, Securities and Futures Commission Academic and Accreditation Advisory Committee (Feb. 19, 2003-Feb. 18, 2005).

Member, The Law Society of Hong Kong CPD Accreditation Sub-Committee (Mar. 2002-Dec. 2005).

Member, Hong Kong Inland Revenue Board of Review (July 1, 2001-June 30, 2004) (sat on review panels for two hearings).

Member, Panel of Judges for the Asian Legal Business Awards (2002, 2003).

Member, The Law Reform Commission of Hong Kong Sub-Committee on Debt Collection (Nov. 1998-June 2002).

Assessor, RGC Competitive Earmarked Research Grants, Hong Kong Research Grants Council (2002, 2006).

Member, The Law Society of Hong Kong Commercial Law Committee (July 1997-June 2002).

Member, The Law Society of Hong Kong Foreign Lawyers Admission Examination Convenors Panel (Co-Convenor, Commercial and Company Law Panel) (Oct. 1993-June 1994).

Member, Hong Kong Society of Accountants (HKSA) Insolvency Practitioners Committee (IPC) (Feb.-Dec. 2001; Jan.-Dec. 2003).

Member, HKSA Expert Panel on Insolvency (Jan.-Dec. 2002).

Member, HKSA IPC Ad Hoc Working Group on the Companies (Amendment) Bill 2000 (Feb.-Apr. 2000).

UNIVERSITY OF HAWAI'I:

Member, Advisory Board, Shidler College of Business, Center for International Business Education and Research (CIBER) (Nov. 1, 2007-June 2015).

Member, Tenure and Promotion Review Committee (Spring 2008, Spring 2012, Spring 2015 (Chair), Spring 2019).

Member, Executive Committee, Center for Chinese Studies (Aug. 1, 2007 to July 31, 2009), Member, By-Laws Committee (Feb. 1-June 30, 2007) and Member (Feb. 23, 2006-Present).

MEMBERSHIP IN HONORARY ASSOCIATIONS:

Member, International Academy of Commercial and Consumer Law (Aug. 2006-Present).

Founding Member, International Insolvency Institute (Dec. 2000-Present).

Foreign Fellow, American College of Bankruptcy (Mar. 2000-Dec. 2005); Fellow (Jan. 2006-Present).

PROFESSIONAL MEMBERSHIPS:

Member, New York Bar (1985); New Jersey Bar (1986).

American Bar Association (1985); International Bar Association (1987) (Inactive); INSOL (1997); American Bankruptcy Institute (1997); HKSA Hong Kong Insolvency Interest Group/HKICPA Restructuring & Insolvency Faculty (1997); Inter-Pacific Bar Association (1998) (Inactive); International Exchange of Experience on Insolvency Law (IEEI) (2017); Delegate, American Society of Comparative Law (2020).

COMMUNITY ACTIVITIES:

Member, Kailua Racquet Club Board of Directors, HI (Nov. 21, 2020-Nov. 20, 2023).

Steering Committee, Harvard Law School Club of Hawai'i (Apr. 2015-Present).

Member, United Jewish Congregation Shorashim School Board, Hong Kong (Sept. 1999-June 2000).

Class Agent, Harvard Law School (1998-2005).

Member, Prince Philip Scholarships Selection Committee (for Cambridge University) (1996-1999; 2003-2004).

Alumni Interviewer, Yale University (1983-1984; 1986-1990; 2004-2005, 2006-2007).

Class Agent, Yale University (1981-1996).

Vice President, Yale Club of Hong Kong (1990-1991).

Member, Board of Directors, Yale Club of Hawai'i (1987-1989).

15 Oct. 2021